

# HISTORICAL DATA

**May 17, 1792**

Twenty-four brokers subscribed to original brokers' agreement, forming first organized stock market in New York. They met under a buttonwood tree at what is now 68 Wall Street.

**March 8, 1817**

Constitution and name "New York Stock & Exchange Board" adopted.

**January 29, 1863**

Name changed to "New York Stock Exchange."

**November 15, 1867**

Stock tickers first introduced.

**November 13, 1878**

First telephones installed on trading floor.

**December 15, 1886**

First million share day – 1,200,000 shares.

**January 23, 1895**

NYSE recommended that listed companies publish and distribute to stockholders annual financial statements.

**April 23, 1903**

NYSE occupied new building with present trading floor at 18 Broad Street.



**October 23, 1868**

Memberships made salable; prior to this time, each member had a reserved seat in a particular place and had a right to his seat for life.

**February 1, 1869**

NYSE required registering of securities by listed companies to prevent their over-issuance.

**December 1, 1873**

Trading hours set at 10 a.m. to 3 p.m.; Saturdays, 10 a.m. to noon.

**July 23, 1914**

NYSE closed through December 11 – World War I.

**October 29, 1929**

Stock market "crash"; 16,410,000 shares traded.

**May 27, 1933**

Securities Act of 1933 enacted. Its two basic purposes: to provide full disclosure to investors and to prohibit fraud in connection with the sale of securities.

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## June 6, 1934

Securities Exchange Act of 1934 enacted, providing for regulation of securities trading and establishment of SEC.

## September 29, 1952

Trading hours changed. Weekdays, 10 a.m. to 3:30 p.m. No Saturdays.

## December 20, 1966

Transmission of trade and quote data from Floor fully automated.

## February 18, 1971

New York Stock Exchange incorporated.

## May 11, 1973

Depository Trust Company established to provide a central depository for securities certificates and electronically record transfers of stock ownership.

## October 1, 1974

Trading hours extended to 4 p.m.

## March 1, 1976

Designated Order Turnaround (DOT) System inaugurated March 4, 1976. Alternative listing standards adopted to facilitate listing of major foreign corporations.

## April 17, 1978

Intermarket Trading System (ITS) inaugurated.

## August 18, 1982

First 100 million share day (132,681,120 shares).

## March 28, 1985

Ronald Reagan became first U.S. President to visit the NYSE while in office.

## September 30, 1985

Trading hours changed to 9:30 a.m. to 4 p.m.

## June 13, 1991

The NYSE began its first off-hours trading sessions. Two Crossing Sessions extended trading activity to 5:15 p.m.



## May 17, 1992

The NYSE celebrated its 200th anniversary with a series of commemorative events.

## May 22, 1995

Trading posts upgrade completed as part of ongoing Integrated Technology Plan (ITP).

## November 15, 1996

Vimpel-Communications became the NYSE's first Russian listed company.

## June 24, 1997

The NYSE began trading stocks in sixteenths, an interim step toward quoting stock prices in decimals.

## October 28, 1997

First billion share day – 1,202,550,000 shares.

## April 15, 1998

New “circuit breaker” rules went into effect to halt trading when the Dow Jones Industrial Average drops 10, 20, and 30 percent.

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**October 26, 1998**

DaimlerChrysler AG became the first international corporation to list its ordinary shares on the NYSE.

**March 8, 1999**

NYSE unveils 3-D Trading Floor and advanced Trading Floor operations center.

**March 16, 1999**

Dow Jones Industrial Average hits 10,000.

**September 17, 2001**

The NYSE opened for trading four days after terrorists attacked the World Trade Center.

**August 1, 2002**

Implementing the recommendations of the Corporate Accountability and Listing Standards Committee, the NYSE Board of Directors ratified new standards to strengthen corporate governance and enhance investor protection.



**November 20, 2000**

A new trading room opened at 30 Broad Street, expanding the NYSE trading floor by 8,000 square feet.

**December 21, 2000**

NYSE Direct+®, an automated execution service, was launched.

**January 4, 2001**

First 2 billion share day – 2.129 billion shares.

**January 29, 2001**

Markets move to decimal trading after using fractions for more than two centuries.

**July 31, 2001**

NYSE began trading in three actively-traded exchange traded funds (ETF's).

**June 13, 2003**

NYSE LiquidityQuote<sup>SM</sup> launched, providing market professionals with detailed data on the depth and size of the market in 28 pilot stocks.

**December 17, 2003**

The NYSE concluded a far-reaching reorganization of its corporate governance, ensuring greater transparency and independence of NYSE board members. The Exchange's new governance architecture features a fully independent Board of Directors; a Board of Executives composed of constituent representatives to advise on NYSE marketplace issues; an autonomous Chief Regulatory Officer; and separation of the CEO and Chairman roles.

**November 16, 2005**

Record price for NYSE membership – \$3,250,000.