



## Global Environment Facility

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July 2, 2009

Dear GEF Council Member,

I am writing to notify you that we have today posted on the GEF's website at [www.TheGEF.org](http://www.TheGEF.org), a medium-sized project proposal from UNEP entitled ***Global: Support to GEF Eligible CBD Parties for carrying out 2010 Biodiversity Targets National Assessments - Phases III***, to be funded under the GEF Trust Fund (GEFTF).

*With the overarching goal of improving decision-making at various levels to achieve the objectives of the Convention on Biological Diversity and other related international conventions, the main objective of this project is to enable GEF eligible CBD Parties to assess progress towards the achievement of the 2010 Biodiversity Targets at the national level and to develop the Fourth National Report to the CBD.*

The project proposal is being posted for your review. We would welcome any comments you may wish to provide by July 16, 2009, in accordance with the new procedures approved by the Council. You may send your comments to [gcoordination@TheGEF.org](mailto:gcoordination@TheGEF.org).

If you do not have access to the Web, you may request the local field office of the World Bank or UNDP to download the document for you. Alternatively, you may request a copy of the document from the Secretariat. If you make such a request, please confirm for us your current mailing address.

Sincerely,

Copy: Alternates, GEF Agencies, STAP, Trustee



REQUEST FOR CEO ENDORSEMENT/APPROVAL  
PROJECT TYPE: Medium-sized Project  
THE GEF TRUST FUND

**Submission Date: May 28, 2009**

**PART I: PROJECT INFORMATION**

GEFSEC PROJECT ID: 3920

GEF AGENCY PROJECT ID: T.B. D

COUNTRY (IES): Global (max 40 countries)

PROJECT TITLE Support to GEF Eligible CBD Parties for carrying out 2010 Biodiversity Targets National Assessments – Phase III:

GEF AGENCY (IES): UNEP

OTHER EXECUTING PARTNER(S): National Environment Ministries/Departments in the countries.

GEF FOCAL AREA(S): Biodiversity

**GEF-4 STRATEGIC PROGRAM(s):** Responds to the prescribed use of Global and Regional Funds under the GEF Biodiversity Strategy

**NAME OF PARENT PROGRAM/UMBRELLA PROJECT:** Biodiversity Enabling Activities

Expected Calendar (mm/dd/yy)	
Milestones	Dates
Work Program (for FSPs only)	N/A
Agency Approval date	June 2009
Implementation Start	July 2009
Mid-term Evaluation (if planned)	N/A
Project Closing Date	June 2011

**A. PROJECT FRAMEWORK** (Expand table as necessary)

<b>Project Objective:</b> With the overarching goal of improving decision-making at various levels to achieve the objectives of the Convention on Biological Diversity and other related international conventions, the main objective of this project is to enable GEF eligible CBD Parties to assess progress towards the achievement of the 2010 Biodiversity Targets at the national level and to develop the Fourth National Report to the CBD.								
Project Components	Indicate whether Investment, TA, or STA <sup>b</sup>	Expected Outcomes	Expected Outputs	Indicative GEF Financing <sup>a</sup>		Indicative Co-Financing <sup>a</sup>		Total (\$) C =a + b
				(\$ ) a	%	(\$ ) b	%	
1. Technical & Financial Support to countries	TA/STA	Status and trends of biodiversity and of measures taken to achieve the objectives of the CBD in 40 countries known and therefore national implementation of the CBD is enhanced.  Greater awareness of progress made	▪ 40 countries have received funds and developed their 4NR to the CBD  ▪ Assessment of progress towards the 2010 Biodiversity Target presented primarily through	800,000	48	880,000	52	1,680,000

		towards 2010 Biodiversity Targets and of challenges of achieving it.	4 <sup>th</sup> national reports of the CBD ▪ Associated by products submitted to the CBD*					
2. M&E Adaptive Learning, training and Feedback	TA/STA	Monitoring provided at all levels, learning and partnerships fostered, adaptive feedback to stakeholders provided & evaluation carried out.	<ul style="list-style-type: none"> <li>▪ Training for country teams</li> <li>▪ M&amp;E report (from Terminal evaluation )</li> <li>▪ Continuous updating of the project website</li> </ul>	100,000	33	200,000	67	300,000
3. Project management				100,000	83	20,000	17	120,000
<b>Total project costs</b>				A 1,000,000	48	B 1,100,000	52	2,100,000

<sup>1</sup> List the \$ by project components. The percentage is the share of GEF and Co-financing respectively of the total amount for the component.

<sup>2</sup> TA = Technical Assistance; STA = Scientific & Technical Analysis.

\* Associated reports could include thematic reports, CEPA or IYB documents

**B. SOURCES OF CONFIRMED CO-FINANCING FOR THE PROJECT** (expand the table line items as necessary)

<i>Name of Co-financier (source)</i>	<i>Classification</i>	<i>Type</i>	<i>Project</i>	<i>%*</i>
Government departments -40 countries	National Govt.	In kind /grant	500,000	46
Count Down 2010	CSO	In kind /grant	300,000	27
CBD Sec <sup>1</sup>	Multilateral agency	In kind/Grant	300,000	27

<sup>1</sup> Funds raised by SCBD from other non GEF donors for workshops

<b>Total Co-financing</b>	1,100,000	100%
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\* Percentage of each co-financier's contribution at CEO endorsement to total co-financing.

**C. FINANCING PLAN SUMMARY FOR THE PROJECT (\$)**

	<i>Project Preparation a</i>	<i>Project b</i>	<i>Total c = a + b</i>	<i>Agency Fee</i>	<i>For comparison: GEF and Co- financing at PIF</i>
GEF financing	N/a	1,000,000	1,000,000	100,000	1,000,000
Co-financing	N/a	1,100,000	1,100,000		1,100,000
<b>Total</b>		2,100,000	2,100,000	100,000	2,100,000

**DE. CONSULTANTS WORKING FOR TECHNICAL ASSISTANCE COMPONENTS:**

<i>Component</i>	<i>Estimated person weeks</i>	<i>GEF amount (\$)</i>	<i>Co- financing (\$)</i>	<i>Project total (\$)</i>
Local consultants*				
International consultants*	20	60,000	0	60,000
<b>Total</b>	20	60,000	0	60,000

\* Details to be provided in Annex C.

**F. PROJECT MANAGEMENT BUDGET/COST**

<i>Cost Items</i>	<i>Total Estimated person weeks/month s</i>	<i>GEF amount (\$)</i>	<i>Co- financing (\$)</i>	<i>Project total (\$)</i>
Local consultants*	154	80,240		80,240
International consultants*				
Office facilities, equipment, vehicles and communications*				
Travel*		19,760		19,760
Others**				
<b>Total</b>	<b>154</b>	<b>100,000</b>		<b>100,000</b>

\* Details to be provided in Annex C. \*\* For others, it has to clearly specify what type of expenses here in a footnote.

**G. DOES THE PROJECT INCLUDE A “NON-GRANT” INSTRUMENT? ☒**

(If non-grant instruments are used, provide in Annex E an indicative calendar of expected reflows to your agency and to the GEF Trust Fund).

**H. DESCRIBE THE BUDGETED M & E PLAN:**

1. This proposal is for Phase III of the project entitled “Support from Global Environment Facility (GEF) to Eligible CBD Parties for Carrying out 2010 Biodiversity Targets National Assessments”. The entire project encompasses a **three-phased global umbrella project – each of the phases being one Medium Size Project (MSP)**. The first two phases were jointly implemented by the United Nations Development Programme (UNDP) and the United Nations Environment Programme (UNEP) while the Third Phase will

be implemented by UNEP<sup>2</sup>. Phases I and II of the project (GEF ID No 3414) were approved in 2007 and 2008, respectively, and supported a total of 90 countries to assist them to engage broad groups of stakeholders at the national level in the process of assessing and reporting on progress towards the achievement of the 2010 Biodiversity Targets. However 56 eligible countries could be still funded through Phase III. This proposal therefore requests the GEF to provide \$1,000,000 to support a maximum of 40 countries (see list of countries that have accessed funds in phases I and II in Annex E and those that have not applied in Annex P). The three MSPs were designed to work concurrently which means that implementation of phases I and II is still going on. In each of the sections in this proposal, a brief update of what has been achieved in Phases I and II will be provided as far as possible.

#### Update on budgeted M&E plan for Phases I and II

2. As Phases I and II were co- implemented by UNEP and UNDP, measures for overall project oversight were instituted with roles divided between the two institutions and a Project Steering Committee (PSC) established to provide recommendations to the implementation of the project. Key pillars for M&E in Phases I and II included:-
  - a) A project log frame.
  - b) A clear division of roles between UNEP GEF and UNDP GEF in terms of M&E and general project implementation.
  - c) A project's web-based platform, the '**4NR Portal**' ([www.cbd.int/nr4](http://www.cbd.int/nr4)) to allow monitoring the status of project implementation by updating the portal regularly.
  - d) A Project Steering Committee comprising representatives from seven institutions.
  - e) A budgeted terminal evaluation for phases I and II.
3. So far this M&E plan has been working well and an updated project log-frame (results framework) is given in Annex A. In phases I and II, four meetings of the PSC were held. The first meeting was held in Paris on 07 July 2007 at the margins of the Second meeting of the Convention on Biological Diversity (CBD) Working Group on Review of Implementation of the Convention (WGRI-2). The Second PSC meeting was a teleconference held on 26 November 2007. The third PSC meeting was held in May 2008 at the margins of the CBD COP9, in Bonn with some members participating over telephone. The fourth meeting was held by teleconference in April, 2009. So far associated costs for PSC meetings have been minimal as they were either held back-to-back with other international meetings or are held by Skype.
4. The project's web-based platform, the '**4NR Portal**' ([www.cbd.int/nr4](http://www.cbd.int/nr4)) was launched in May 2008 and includes an overview of approved country requests and status of project implementation for phases I and II. The Portal has allowed project partners and others interested to monitor project development more closely. In addition, the portal pools together resources needed to assist with assessment of progress towards the 2010 biodiversity target and the preparation of the fourth national report of the CBD.
5. Though planned for, a terminal evaluation for Phases I and II has not been undertaken yet because countries are still implementing the project, especially those that got funds in Phase II, some of which received funds in February, March and April of 2009. A slight change for M&E has been introduced in Phase III because UNEP is the sole implementing agency for Phase III and therefore the roles that were previously shared will be done by UNEP.

#### Budgeted M&E plan for Phase III

6. As the implementing agency for Phase III, UNEP GEF will institute measures for overall oversight of the project including making sure that the executing agencies deliver as per the log-frame (project results framework) for Phase III of the project shown in Annex A.

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<sup>2</sup> A third phase is being proposed, so as to achieve wider coverage of eligible countries, i.e. up to 130 countries in total.

7. For consistency, it will be important to maintain the same Project Steering Committee (PSC) that was used for Phases I and II. The PSC includes UNEP/GEF, UNDP GEF, CBD Secretariat, Countdown 2010 Initiative, Coordination of the 2010 Biodiversity Indicators Partnership (2010 BIP) project and the GEF Secretariat. The PSC instituted for Phases I and II will continue to monitor and give strategic oversight on the project and its activities both at the country and at the global levels, as well as its results and impacts. Specifically the PSC will continue to play its role including (a) overseeing the implementation of the project by providing guidance and substantive advice to national executing agencies and implementing agencies (a role mostly played by the CBD Secretariat, with respect to relevant decisions or guidance from the Conference of the Parties (COP) and recommendations from relevant bodies under the CBD); (b) appraising important documents and products produced by the project and in particular the **4NR Web Portal**; (c) proposing and agreeing on modalities of collaboration among project partners, either at the global or at the country levels; (d) review of Terms of Reference (TORs) for consultants engaged for the project, and, (e) re-allocation of funds when required. The PSC will continue to meet and be in contact in a cost-effective way with regard to project implementation as needed during Phase III. TORs for the PSC are given in Annex O.
8. Given the umbrella approach, the project can be said to have a global-level component and a series of country-level activities, considered 'sub-projects' or the national component. Monitoring of the project at the country level will be done by the executing agencies (government environment ministries) and at the global level by UNEP GEF.
9. During Phase III the project Portal will continue to be in use. UNEP/GEF will be reviewing individual country proposals (country requests) and awarding funding to countries upon receipt of eligible and Operational Focal Point (OFP)-endorsed proposals. These proposals contain an outline of the activities to be carried out, outputs to be achieved, time-frame and budget allowing for a closer monitoring by UNEP. Annex I is the format for country request to be used in Phase III. Funds will be disbursed to governments directly from UNEP GEF as per individual country proposals and based on a careful assessment of their technical capacities, needs, costs and institutional commitments, as it has been the case for other national reports done previously. When activities at the country level are completed, countries will submit the Fourth National Report to the CBD COP within the timeframe specified in the country proposal. The submission of Fourth National Reports by countries can be independently verified through the CBD Website. In addition, grants will be provided to requesting countries in line with United Nations (UN) audit rules and procedures.
10. Technical support will be given to the Least Developed Countries (LDCs) and Small Island Developing States (SIDS), in terms of helping review their draft reports and giving suggestions for improvement. This will be done through regional training workshops conducted by the CBD Secretariat, and with members of the Project Steering Committee participating if necessary. Specifically, the regional workshops will act as an additional tool to facilitate the implementation of the project in that country representatives will have to bring with them draft reports for discussions with reviewers during the workshops. This is instituted to speed up the execution of the project by government ministries.
11. Six monthly reports will be produced by UNEP GEF and reported to the Steering Committee. A PIR will be conducted in the second year of the project. A terminal evaluation for Phase III is budgeted for and will be done by the UNEP Evaluation Oversight Unit (EOU). Due to the umbrella nature of the project, where 80% of the budget is composed of grants, no Mid-Term Evaluation will be carried out. M&E costs for phase III are shown in Table 1 below. The Phase III full budget in UNEP Format is found in Annex L.

**Table 1: Monitoring and Evaluation Costs in Phase III**

M&E item	Costs
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UNEP project oversight at global level	Out of IA's fees
Project monitoring , adaptive management, training and feedback	Approximately \$75,000
Project Evaluation	Approximately \$25,000
Project oversight by members of the Project Steering Committee	With partner managed funds as co-financing

**PART II: PROJECT JUSTIFICATION:** In addition to the following questions, please ensure that the project design incorporates key GEF operational principles, including sustainability of global environmental benefits, institutional continuity and replicability, keeping in mind that these principles will be monitored rigorously in the annual Project Implementation Review and other Review stages.

A. STATE THE ISSUE, HOW THE PROJECT SEEKS TO ADDRESS IT, AND THE EXPECTED GLOBAL ENVIRONMENTAL BENEFITS TO BE DELIVERED:

12. Biodiversity is currently being lost at unprecedented rates due to human activities around the globe. To address this problem, the Conference of the Parties (COP) to the Convention on Biological Diversity (CBD) adopted a Strategic Plan in 2002 (decision VI/26). In its mission statement, CBD Parties committed themselves to a more effective and coherent implementation of the three objectives of the CBD, to achieve a significant reduction of the current rate of biodiversity loss at the global, regional and national level by the year 2010, as a contribution to poverty alleviation and to the benefit of all life on earth. These became known as the **2010 Biodiversity Commitments**, for which a set of goals and targets and indicators were later developed and adopted.
13. The '**2010 Biodiversity Target**' was subsequently endorsed by the World Summit on Sustainable Development (WSSD)\_ in 2002 and discussed in more depth by several other fora. Particularly significant amongst these have been the definition of areas of focus and indicators by the CBD COP7 in 2004 (decision VII/30) and the adoption, by the CBD COP8 in 2006, of a framework for monitoring implementation of the achievement of the 2010 Target and integration of its sub-targets into the CBD's thematic programmes of work (decision VIII/15 – see Annex N) More specifically, decision VIII/15 adopted a set of the global outcome-oriented indicators to measure progress towards the 2010 target. The same decision also urged Parties and invited other Governments to: (1) develop national and/or regional goals and targets and related national indicators, taking into account submissions from indigenous and local communities and other stakeholders, and (2) incorporate them into *inter alia* relevant plans, programmes and initiatives, including national biodiversity strategies and action plans.
14. The following is the framework of goals of the **2010 Biodiversity Commitments**:

▪ Promote the conservation of the biological diversity of ecosystems, habitats and biomes
▪ Promote the conservation of species diversity
▪ Promote the conservation of genetic diversity
▪ Promote sustainable use and consumption
▪ Pressures from habitat loss, land use change and degradation, and unsustainable water use, reduced
▪ Control threats from invasive alien species
▪ Address challenges to biodiversity from climate change, and pollution
▪ Maintain capacity of ecosystems to deliver goods and services and support livelihoods
▪ Maintain socio-cultural diversity of indigenous and local communities
▪ Ensure the fair and equitable sharing of benefits arising out of the use of genetic resources
▪ Parties have improved financial, human, scientific, technical and technological capacity to implement the Convention

15. The CBD COP indicates that decision VII/30<sup>3</sup> is to be viewed as a flexible framework within which national and/or regional targets may be developed, according to national priorities and capacities, and taking into account differences in diversity between countries. It is within this framework that the current project proposes to assist participating countries and generate global environmental benefits.
16. With focus on the 2010 Biodiversity Commitments at the country level, **this proposal pertains to the third of three phases of a global umbrella Medium Size Project (MSP)**, to be funded within the GEF Enabling Activities (EA) window for Biodiversity, and is to be implemented by UNEP. With UNEP as the implementing agency, this project proposal will provide an expedited mechanism for the development, submission and approval of countries' proposals (individual funding requests of up to \$20,000<sup>4</sup>) for their **2010 Biodiversity Targets National Assessments and the preparation of the fourth national report to the CBD COP**, as a continuation of Phase I and II.
17. More specifically, this project addresses the need to engage broad groups of stakeholders at the national level in the process of assessing and reporting on progress towards the achievement of the 2010 Biodiversity Targets. The project will contribute to the relevant biodiversity policy agenda and decision-making processes both at global level and in participating countries as follows:
- a) Encouraging and supporting the full implementation of the binding international commitments and necessary actions that contribute to achieving the objectives of the CBD and related instruments;
  - b) Demonstrating clearly what progress countries are making in meeting the 2010 Biodiversity Commitment;
  - c) Linking the assessment process to other important policy dialogues, in particular the achievement of the MDGs and the implementation of National Biodiversity Strategy and Action Plans (NBSAP); and
  - d) Gaining public attention at country level for the challenge of meeting the 2010 Biodiversity Target.
  - e) Ensuring broader ownership in establishing the post 2010 biodiversity target.
18. During Phase III, UNEP will work closely with the CBD Secretariat and other key partners who have been sitting on the PSC (see paragraph 2 above). It is important to stress that the funding envelope slated for each sub-project is only for initiating the process of undertaking the 2010 assessments, in particular bringing together relevant stakeholders in the process. If countries wish to go beyond what is feasible within the funding envelope and associated co-financing, additional funding will need to come from sources other than this global project. Should there be sufficient demand from a number of countries to carry out additional activities related to the 2010 target at the national level, IAs may consider, in partnership with the GEF and the CBD Secretariat, the formulation of another umbrella project with the aim of providing the framework for such activities. Funding for it, would necessarily have to come from countries' share under the Resource Allocation Framework (RAF) in GEF 5. Under phase I, out of 42 countries, 17 have indicated an interest in going more in depth with indicator development and more in-depth assessments.

#### UPDATE OF RESULTS FROM THE PROJECT'S PHASE I & II COMBINED (REFER TO ANNEX E)

20. Requests for grants for 90 countries (out of maximum 90 sub-projects) have been approved by for carrying out 2010

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<sup>3</sup> See in particular paragraph 12 in Annex 9 (Excerpt from CBD COP Decisions VIII/15 and VIII/14).

<sup>4</sup> Annex 5 contains the format of the country request.

Biodiversity Targets National Assessments and producing the fourth national report to the CBD COP. These requests can be found online in the 4NR Web Portal ([www.cbd.int/nr4](http://www.cbd.int/nr4)).

- a) The 4NR Web Portal is functional and being constantly updated.
- b) Of the funds entrusted to UNDP (\$1,865,000), 98% is committed and over 90% disbursed
- c) Of the funds entrusted to UNEP (\$135,000), 80% is committed and 33% disbursed.<sup>5</sup>
- d) Realised co-financing from UNDP and Countdown 2010 at the global level, amounting to \$1,464,050, has supported project implementation during Phase I. &II
- e) Co-financing from countries' governments have been accounted for through submitted proposals and amount to \$958,400 for 90 countries; i.e. an average of \$10,649 per country against \$20,000 per country from the GEF.
- f) Altogether, global and national co-financing in Phase I and II was \$2,422,450 against \$ 2,000,000 from the GEF.

### JUSTIFICATION FOR PHASE III AND HOW THE PROJECT SEEKS TO ADDRESS THE ISSUES

21. Through Phases I and II of this project, funds had been made available to 90 countries. This leaves a remaining 56 GEF eligible countries yet to be funded. Based on recent SCBD communications with CBD Parties, it is estimated that at least 40 of these countries are likely to submit requests for funding for the preparation of their fourth national reports considering the importance of these reports to the CBD, particularly reviewing progress towards the 2010 Biodiversity Target. As of 28 April 2009, some 14 countries have already applied for funds and are waiting for GEF funding in Phase III.
22. During the Third Phase, the project is designed to reach out with funding and technical support to **a maximum of 40 countries** among those eligible within the GEF Biodiversity Focal Area (BD)<sup>6</sup>. It will assist interested and BD eligible countries financially and substantively in assessing progress towards the 2010 target through a national participatory assessment process, using the provisional framework for goals and targets adopted by the CBD COP decision VIII/15. The guidelines for the fourth national report to the CBD COP will be followed in connection with the national assessment.
23. While it is recognized through COP guidance (Decisions VII/30 and VIII/15) that the fourth national report, the revision of a country's NBSAP and the process of setting national 2010 targets are all linked processes, the exact way in which they are linked will vary from country to country. Given this, it is opportune for CBD Parties to link the consultative process for the development of the national 2010 biodiversity targets with the process for preparing the fourth national report to the CBD COP, with due consideration for timing, deadlines and relevant COP decisions (Annex H). Still, when reporting on the national achievement of the 2010 target, the CBD Secretariat emphasizes the importance of using the guidelines endorsed by the COP8 for the fourth national reports, as the guidelines will facilitate analysis of progress towards the 2010 target at the global level and it will provide the CBD with more standardized country-specific information. Furthermore, the use of the guidelines was requested in a relevant decision adopted by the COP8 (decision VIII/14). Though this Phase is implemented after the deadline of the submission of the fourth national report to the CBD, however it is recognized that this phase is still very crucial to accelerate the assessments and the preparation and submission of the fourth national report to CBD considering lack of financial support to their efforts in this regard is considered as one main factor to delay their process of undertaking the assessment and preparing the fourth national report. In addition, the first two phases of the project could only accommodate requests from a total of 90 countries out of 154 eligible countries, limiting opportunities for a considerable number of countries to access funds for their assessments and report preparation. The CBD Secretariat informed that the reports to be

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<sup>5</sup> The funds for web consultants based in Montreal and Nairobi respectively have been committed. UNEP is in the process of committing the rest of the funds for country reviews. This was not done before because countries have not completed the development of the reports.

<sup>6</sup> There are 150 eligible under the GEF Biodiversity Focal Area (*RAF Public Disclosure Document*, GEF Secretariat, 15 Sep 2006).

received after the deadline would be used to prepare reviews of progress towards the 2010 target for CBD COP 10 in 2010 and major meetings prior to that.

24. All countries eligible to biodiversity funding under the GEF which have not applied during phases I and II may apply for funding under this Phase, provided that the eligibility criteria are met. As an additional rule, the GEF requested that countries that apply for funding under this project must have completed their benchmarks under other Biodiversity EAs for which they had received GEF funding. These may include third national report, and/or National Biodiversity Strategies and Action Plans (NBSAPs). In order to avoid possible delays in the application and approval process of sub-projects from the implementation of this additional rule, it is suggested that countries that have not completed the previously funded activities submit together with their request a letter of commitment for completing those activities within a fixed time frame
25. UNEP will give priority consideration to LDCs and SIDS, as so defined according to UN set criteria.<sup>7</sup> This will be done by reinforcing communication to these countries about the project, encouraging their governments to submit requests for funding as early as they can, once the new phase becomes operational. This strategy of targeted communication to LDCs and SIDS has proven to work well in Phase I, where 43% of countries' requests came from LDCs and/or SIDS, as opposed to a ratio of 24% of LDCs and/or SIDS among all GEF eligible CBD Parties. The CBD Secretariat will notify eligible countries of the need to access the funds through this Phase as quickly as possible. A list of all LDCs and or SIDs is given within the template for country request (Annex I).
26. Activities at the country level will include data gathering – building, wherever possible, on existing data and processes – stakeholder consultations, as well as reporting and communicating on 2010 Targets. Extensive guidance will be available both with regard to the 2010 targets assessment process and the preparation of the fourth national report. The '**Towards 2010 Guidebook**'<sup>8</sup> and the '**4<sup>TH</sup> National Report (4NR) Portal**'<sup>9</sup>, are all examples of this guidance and a direct project result from the implementation of Phases I and II. Other types of guidance include the 'Training modules on national reporting for CBD focal points'<sup>10</sup>, sample chapters of the fourth national report produced by selected CBD Parties and links to an array of online resources available through the 4NR Portal<sup>11</sup>.
27. The fourth national report of the CBD allows countries to present an analysis of progress towards the 2010 Targets based on analyses of status & trends, threats to biodiversity, implementation of national biodiversity strategies and action plans and of mainstreaming biodiversity into productive sectors and landscapes, among other themes. Reports will be also analyzed in preparation for review of progress towards the 2010 Targets at CBD COP10 in 2010. Countries are therefore required to use the guidelines for the fourth national report prepared by the CBD Secretariat<sup>12</sup> to report on the results of their national assessments of the 2010 Targets. Also as suggested in the guidelines, countries could, in addition, develop other products and sub-products to make the results of 2010 assessments and reports available as widely as possible. These would be country-specific results from this project and may include other types of reports and publications, theme-specific action plans and project proposals based on the assessments.
28. Technical support and advice will be given through the intranet and also through the regional workshops arranged especially for the LDCs and SIDs which will be participating in Phase III.

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<sup>7</sup> See list in Annex 5 for the list of LDCs and SIDS eligible to GEF biodiversity funding.

<sup>8</sup> [www.cbd.int/nr4/guidelines/2010-guide.shtml](http://www.cbd.int/nr4/guidelines/2010-guide.shtml)

<sup>9</sup> [www.cbd.int/nr4](http://www.cbd.int/nr4)

<sup>10</sup> [www.cbd.int/nr4/guidelines/training](http://www.cbd.int/nr4/guidelines/training)

<sup>11</sup> [www.cbd.int/nr4/resources/links](http://www.cbd.int/nr4/resources/links)

<sup>12</sup> Guidelines and format for the Fourth National Report to the CBD is provided in several UN languages at [www.cbd.int/nr4/guidelines/guidelines.shtml](http://www.cbd.int/nr4/guidelines/guidelines.shtml).

29. **The project's development goal** is to contribute to improved planning and decision-making achieve the objectives of the CBD and other related international instruments.
30. **The main project objective** is to enable GEF eligible CBD parties to assess progress towards the achievement of the 2010 Biodiversity Targets at national level through a country-wide, stakeholder consultation process and to appropriately report and communicate on it through the fourth national report of the CBD and associated products.
31. The following will be the project's key Components, outcomes **and Outputs**:

**a) COMPONENT 1: TECHNICAL & FINANCIAL SUPPORT TO COUNTRIES**

Component 1 gives financial and technical support to countries with their 2010 biodiversity targets national assessments and the production of the fourth national report and other associated reports in a timely and expedited manner. UNEP will work directly with countries for the achievement of the project objectives. Countries' Focal Points will submit requests using the template given as Annex I. (Format for country request for the 2010 biodiversity targets national assessment) of this document. Furthermore, one or two workshops will give support to LDCs and SIDS in the preparation of 4th National Reports and to present their national assessments of progress towards the 2010 targets.

The key output under this component is -Financial and substantive support provided to 40 countries for their 2010 Biodiversity Targets National Assessment. Funding for countries' requests under Phases I and II are already fully committed with a total of 90 countries. The maximum dwell time (i.e. the time between the receipt of an eligible proposal and funds authorization) is expected to be maintained at 31 days. Targeted communication will be sent to these countries with respect to the availability of funding in Phase III. Letters of Endorsement are otherwise obtained on a rolling basis as countries' individual requests are submitted for clearance<sup>13</sup>. The principle of 'first-come-first-served' will continue to be applied in the processing of countries' requests. UNEP and SCBD will continue to encourage LDCs and SIDS to apply early.

**b) COMPONENT 2: M&E, ADAPTIVE LEARNING AND TRAINING**

The key issue for this component is that Knowledge Management, Monitoring, Learning, Adaptive Feedback & Evaluation is done. The project will continue to generate, manage and disseminate knowledge for supporting the 2010 Targets assessment process by countries and the preparation of the fourth national report to the CBD COP. At the end of this phase, there will be an independent assessment (evaluation) of the project. The evaluation will feed into the preparation of other similar projects in the future. It will also increase the institutional learning with regards to the umbrella approach. Two three outputs are expected under Component 2.

Output 2.1. Guidance material is available to assist countries and Website for information exchange and networking on the 2010 Biodiversity Targets at national level is developed and constantly updated.

The 4NR Portal will continue to disseminate knowledge materials designed to guide countries in accessing the funds and preparing their fourth national report and assessing progress towards the 2010 target at the national level. Other guidance materials had already been prepared within Phases I and II and will be repeated in Phase III. For example the publication of "Towards 2010 Guidebook" was done within Phase I and it will be available through the 4NR Portal. Initial feedback received at COP9 on the Guidebook and the Portal indicates that the

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<sup>13</sup> Please refer to the 4NR Portal ([www.cbd.int/nr4](http://www.cbd.int/nr4)) for an insight into countries' approved requests and letters of OFP endorsement.

current umbrella project is not only building upon the success of guidance materials produced in connection with the Third National Report to the CBD<sup>14</sup>, but also going beyond.

The 4NR Portal is an integral part of the existing CBD website within the Clearing House Mechanism (CHM) framework. In this sense, no new Website was created, as per recommendation from the GEF Secretariat conveyed through comments to the approved PIF and Phase I MSP. The activity of portal development is led by UNEP and closely coordinated with the CBD Secretariat with inputs from other partners. CBD Focal points have the opportunity for information exchange amongst themselves using the online facility which was installed during Phase II. Experience so far in Phases I and II have shown that CBD focal points have not been as active as they could be in using the online facility. Efforts will be made in Phase III to continually remind them to do so. The portal will serve not just to explain the procedures for countries to apply for funding of country activities and distribute knowledge materials, but also to answer frequently asked questions, promote the exchange of experiences and best practices among countries with regards to the assessment process, reporting and communicating on the fourth national report and 2010 related themes. The project intranet will in turn serve to report on progress and status with regards to project implementation in real-time and on-line to the CBD Secretariat, the GEF, countries, and other interested partners, organizations and individuals.

#### Output 2.2 review of selected reports and training of CBD focal points through regional workshops

To improve on provision of technical support to country teams during project execution, it has been found useful to use regional workshops where CBD focal points discuss problems and get technical assistance to complete the reports. In phase II for example, two workshops were held in Asia and Africa. In phase III, one workshop is envisaged, where CBD focal points will present their draft reports and hired consultants will be in attendance to assist. The workshop will be arranged by the CBD Secretariat and attended by other members of the Steering Committee.

#### Output 2.3. Project is duly monitored and evaluated by UNEP EOU

In Phase III, UNEP/GEF will undertake project monitoring in close collaboration with the PSC. In addition, UNEP GEF will solicit an independent evaluation consultant at the end of Phase III. The TOR for the evaluation will be submitted to the PSC for review.

32. Activities at the country level start with the preparation of the country request and end by the completion and submission of the fourth national report to the CBD. The Table 2 below outlines the responsibilities of the country versus those of UNEP and the PSC. The work plan and time line for implementation of the Phase III is given in Annexes K (i) and K (ii).

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<sup>14</sup> For example, the UNDP/GEF *Guide For Countries Preparing Third National Reports to the CBD*, published in 2005 in collaboration with the United Nations University (UNU) and the CBD Secretariat.

**TABLE 2. TABLE OF Institutional RESPONSIBILITIES**

		Country	Project Steering Committee	UNEP	Collaborating agency
▪	Overall guidance of the project (with periodic meetings )		X		
▪	Guidelines and FAQs			X	CBD Secretariat
▪	Updating the 4NR Portal			X	CBD Secretariat
▪	Country request GEF OFP Letters of Endorsement (LOE) prepared	X			
▪	Review and interaction with country partners to make proposals eligible			X	
▪	Approval of country requests			X	
▪	Issuance of Small Scale Funding Agreement SSFA (legal instrument).			X	
▪	Disbursement of Funds directly to the countries			X	
▪	Implementation at country level (consultations, preparation of report)	X			UNEP regional offices where applicable
▪	Review and analysis of selected draft countries' fourth national report and discussions during regional workshops			X	CBD Secretariat Count Down 2010
▪	Submission of fourth national report to CBD Secretariat and dissemination of other products from the projects.	X			
▪	Financial Reporting to GEF Secretariat			X	
▪	Project Evaluation (for phase III only. It is expected that Phases I and II will have been evaluated at the end of phase II)			X	UNEP EOU
▪	Review and comments on the Evaluation report		X		

33. Key players in project implementation are the applicant countries (GEF eligible CBD Parties) and UNEP GEF. The CBD Secretariat and Countdown 2010 will support project implementation as partners. UNDP GEF

will participate in the Steering Committee and give advice about the online facility where necessary. The updating of the 4NR Portal will be led by the SCBD in collaboration with UNEP GEF. The international civil society initiative Countdown 2010 operates in several countries, where the activities of the project can benefit from joint planning and implementation.

34. The funding slated for directly supporting countries under this umbrella project will be approved by UNEP/GEF following of a satisfactory proposal from the country accompanied by a letter of endorsement (LOE) issued by the GEF Operational Focal Point of that country. The template for the letter of endorsement to be used is given in Annex G. The format for individual country requests is reproduced in Annex I and has been made available at the 4<sup>th</sup> NR Portal of the CBD website. The formats for country requests and LOEs are equally available online through the 4NR Portal in English, Spanish and French. UNEP will however process all proposals primarily in English. Grants will continue to be provided to requesting countries in line with UN audit rules and procedures. Prior to accessing funds the GEF eligible CBD parties will have to sign a Small Scale Funding Agreement (SSFA). The SSFA agreements have to be signed by the respective National Executing Agency (NEA) latest 12 months prior to the planned completion of the UNEP project. UNEP will provide reports to the GEF Secretariat on a periodic basis on the status of the global project and the number of country requests received and processed, as well as funds disbursed.
35. **At national level**, the project will continue to support eligible countries with a wide consultation process aimed at: (1) communicating the importance of 2010 Targets for the progressive implementation of the CBD at country level, particularly reported through the submission of the fourth national report to the CBD COP; and (2) linking, where relevant, reporting on progress towards the 2010 target with progress in achieving other related global goals such as Millennium Development Goals.
36. Planned activities at country will be reflected in the individual country requests and are expected to include (a) data gathering relevant for the 2010 Targets; (b) consultations with stakeholders; and (c) reporting and communicating on progress towards 2010 Targets, using the guidelines of the fourth national report to the CBD COP, and other associated reports or products. It is expected that the gathering of data and information related to 2010 national indicators will build upon previous activities, studies, experiences, including reviews of implementation of NBSAPs and earlier National and Thematic Reports to the CBD. The preparation process also foresees extensive consultation with the full range of national stakeholders, including local and indigenous groups, in order to ensure that different perspectives and interests are taken into account.

**B. DESCRIBE THE CONSISTENCY OF THE PROJECT WITH NATIONAL AND/OR REGIONAL PRIORITIES/PLANS:**

37. In many parts of the world, national reporting to a convention is seen as a somewhat isolated event with limited impact on policies. However, according to COP guidance, the preparation of countries' Fourth National Reports, the revision of the NBSAPs and the process of setting national 2010 targets are all linked processes. Combining and sequencing these processes to best fit a country's needs and capacities will enhance impact of the debate pertaining to biodiversity issues at the country level. At the national level, the consultation process that is being financed through this umbrella project can potentially catalyze the integration or mainstreaming of biodiversity considerations into sectoral and cross-sectoral plans, strategies and policies, depending on how countries chose to carry out the process. Chapters III and IV of the Fourth National Report to be prepared by countries touch upon exactly these issues and link them to the NBSAP and the assessment of progress towards the 2010 biodiversity target. Likewise, this consultation process is expected to link-up to on-going MDG policy dialogues, establishing the importance and role of biodiversity for sustaining a country's development.
38. Guidance provided in various CBD COP decisions requests Parties to involve stakeholders in the preparation of their national reports, including NGOs, civil society, indigenous and local communities, business, and the media. In addition, the national focal point responsible for preparing national reports is encouraged to work closely with national counterparts responsible for implementation of other related conventions. This guidance

is reiterated in the “Towards 2010 Guidebook”, which is being used by this project, and is presented in easily understandable language.

39. Through the link with the MDG policy dialogue and by focusing rather on the process of engaging stakeholders in measuring key indicators for the 2010 Targets, the implementation of the CBD will be significantly strengthened at the country level and the challenge of saving biodiversity by 2010 will gain the wider public’s attention world-wide. The project will furthermore enhance opportunities for synergy in the national implementation of CBD related conventions.
40. By emphasizing the need for a participatory and consultative 2010 assessment process, the project will support wider involvement of key national stakeholders, including local and indigenous communities where relevant, to benefit from their knowledge and to ensure that their views and concerns are reflected in the outcomes of the process. This consultative process will ultimately help to broaden and mobilize the constituency for biodiversity within individual countries and the alignment with national priorities and plans.

**C. DESCRIBE THE CONSISTENCY OF THE PROJECT WITH GEF STRATEGIES AND STRATEGIC PROGRAMS:**

41. This project is line with the new GEF’s Strategic Priority for Capacity Building (CB1), which supports Enabling Activities. The project is also highly relevant to the GEF4 Focal Area Strategy for Biodiversity in its efforts to make a substantial contribution to implementing most of the Millennium Development Goals, particularly environmental sustainability and poverty reduction, while meeting the priorities identified by the CBD COP.
42. Furthermore, the long-term impact of the GEF biodiversity program will eventually be measured by GEF’s contribution to a significant reduction of the current rate of globally-significant biodiversity loss in GEF-supported countries as per country reporting to the CBD on the 2010 target. The results of this project are therefore a direct contribution to the monitoring of impact of GEF biodiversity programmes.
43. Specifically on regional and global programmes, the GEF4 Focal Area Strategy mentions that support will also be provided for an assessment of the progress towards achieving the 2010 Biodiversity Target.
44. By assisting countries to assess progress towards the 2010 target, the project is not only aligned to the priorities indentified in the GEF Focal Area Strategy, but it will contribute to the assessment of key indicators identified in it. As an illustration of this, Annex 1 of the GEF Focal Area Strategy lists a selection of indicators taken directly from the provisional framework of goals and targets (COP decision VIII/15) which are to be used for establishing a link between GEF Biodiversity programmes and projects to the 2010 outcome indicators linked to the 2010 biodiversity target. This represents an important contribution to improved decision-making for the conservation of global biodiversity.

**D. JUSTIFY THE TYPE OF FINANCING SUPPORT PROVIDED WITH THE GEF RESOURCES.**

45. Like Phases I and II of this project, Phase III will draw \$1,000,000 GEF Funds from the Global and Regional Exclusion (GRE). Given the global nature of the project, and given the fact that this is an Enabling Activity giving support to CBD Parties, it is important to fund this project from the GRE, to give countries a chance to report on their biodiversity status to the CBD COP **simultaneously and in a comparable manner**. Leaving countries to fund this activity from their RAF allocation will produce scattered and varied results, while some countries may neglect to do it completely. Co-financing will be provided by participating countries, as they have done in other Enabling Activities in the past. It is likely that this will be in-kind provision of staff, office

and administrative support. UNEP will negotiate this co-financing in proposal preparation and keep track of it as appropriate. The indication given of \$440,000 as co-financing from governments is based on what was achieved in Phases I and II.

**E. OUTLINE THE COORDINATION WITH OTHER RELATED INITIATIVES:**

46. This project is fully in line with the role of UNEP as a GEF Implementing Agency. In the past UNEP has undertaken similar roles, for example in implementing many enabling activities to Parties. Specifically UNEP has given support to 28 countries for NBSAPs, another 28 countries for Add-on Biodiversity enabling activities and 41 countries to undertake 3<sup>rd</sup> National Reports. The implementation of 3<sup>rd</sup> National Reports was done in a similar umbrella MSP format as the one adopted in Phase III of this project. In addition, UNEP has expertise in assisting parties technical and scientific environmental matters.

**At global level**, this project will link up to, complement and build upon the progress and results of the following initiatives:

- *UNEP's 2010 BIP Global Project - 2010 Biodiversity Indicators Partnership*  
<http://www.twentyten.net>
- *The UNDP supported Millennium Development Project*  
<http://www.unmillenniumproject.org>  
<http://www.undp.org/poverty/mdgsupport.htm>
- *Convention on Biological Diversity:*  
<http://www.cbd.int/2010-target/default.shtml>  
<http://www.cbd.int/reports/national.shtml#nr4>
- *The BINU Project - Biodiversity Indicators for National Use:*  
<http://sea.unep-wcmc.org/collaborations/BINU/index.cfm>
- *Countdown 2010 Project:*  
<http://www.countdown2010.net/>
- *SEBI2010 - Streamlining European 2010 Biodiversity Indicators*  
<http://biodiversity-chm.eea.eu.int/information/indicator/F1090245995>
- *Ark 2010*  
[www.twentyten.net/initiatives.htm](http://www.twentyten.net/initiatives.htm)

Specifically this project is developing specific linkages to the above named initiatives in the following listed ways:-

- **UNEP's 2010 BIP Global Project.** The GEF-financed project "*Building the Partnership to Track Progress at the Global Level in Achieving the 2010 Biodiversity Target, Phase 1*" aims at assisting a wide range of agencies and organizations already working individually on the development and measurement of 2010 Targets indicators to collaborate more effectively to deliver a suite of global indicators that will be used for tracking and communicating progress towards 2010 at the global level and national.<sup>15</sup> The project's outputs include guidelines to promote and facilitate the development of 2010 biodiversity indicators at the national and regional levels, and to enhance the use of global biodiversity indicators in support of national and regional policy. These guidelines are complementary to Towards 2010 Guidebook and other knowledge materials produced by and disseminated through this project. One member of the steering committee of the 2010BIP Global Project is part of the PSC with the aim of enhancing information exchange between the two projects and lessons learnt. In addition, there will be further value addition between the two projects in that institutions involved in the UNEP's 2010BIP Global Project may be invited to comment on the draft fourth national reports alongside the review that will be done by consultants during regional workshops.

- **The UNDP supported Millennium Development Project**, which aims at mobilizing technical and financial support from across UNDP and the UN System to help developing country governments achieve the Millennium Development Goals (MDGs). The MDGs incorporated recently the 2010 Biodiversity Targets as an additional target under goal number 7, adding the target of “*Reducing Biodiversity loss, achieving a significant reduction in the rate of loss by 2010*”.
- **Convention on Biological Diversity Secretariat’s** continues to facilitate the process of submission of *fourth national report* to the COP by its Parties by making available substantive guidance, such as the annotated guidelines to the fourth national report (known as the “4NR Reference Manual”<sup>16</sup>) and other guidance materials. The CBD Secretariat is also supporting the project by providing co-financing from non GEF funds primarily through organizing regional/sub-regional workshops on NBSAPs and biodiversity mainstreaming as well as national reporting, coordinating and facilitating the regional workshops for CBD focal points, hosting PSC teleconferences, and hosting, within the CHM Websites, the 4NR Portal.
- **Countdown 2010** is a global public-private partnership with more than 700 institutions committed to the 2010 biodiversity target and hosted by the International Union for Conservation of Nature (IUCN). This civil society initiative facilitates and encourages action, promotes the importance of the 2010 biodiversity target and assesses progress towards 2010. An Assembly of all partners meets annually to review the overall direction of Countdown 2010. In its implementation, Countdown 2010 is guided by a core Advisory Board. Activities at national level within the realm of this project in countries encompassed by the Countdown 2010 initiative will continue to be carried out in a coordinated manner. Most relevant for the collaboration in this project are the Countdown 2010 national platforms and its work in the relation to the Countdown 2010 Readiness Assessment. The Countdown 2010 Readiness Assessment focuses on policy response of countries and proposes a conceptual framework for assessing progress towards the 2010 biodiversity target and for ensuring greater synergy among the biodiversity commitments. The methodology identifies key-policy areas that need to be looked into while assessing progress towards the 2010 biodiversity target at the national level. It further provides an indicative list of questions that may be used while assessing progress towards the 2010 target through a stakeholder consultation process. The Countdown 2010 assessment builds on the TEMATEA project<sup>17</sup> which is housed within the Countdown 2010 Secretariat. TEMATEA provides countries with a logical, issue-based framework that structures negotiated text to facilitate the coherent implementation of biodiversity-related commitments, taken under different agreements, such as CBD, United Nations Framework Convention on Climate Change (UNFCCC) and United Nations Convention to Combat Desertification (UNCCD) but also the relevant regional agreements. Through these activities that are directly related to the activities of this project, Countdown 2010 is providing co-financing for this project.

**F. DISCUSS THE VALUE-ADDED OF GEF INVOLVEMENT IN THE PROJECT DEMONSTRATED THROUGH INCREMENTAL REASONING :**

47. In the absence of GEF funds, one approach would be to expect countries to “self-finance” the preparation of their Fourth National Reports to achieve the outcomes of this project. Past experience has shown that this method would be the least effective. Some countries might not submit their reports at all, while in other cases countries may be very late in submitting their reports. In both cases, the functioning of the CBD, in particular its decision-making processes, will be seriously affected. Clearly without a significant number of national reports the CBD COP cannot review the implementation of the CBD and consequently provide adequate guidance for the CBD implementation at various levels. In the case of the Fourth National Report, without a significant number of the reports, the CBD COP will have great difficulty reviewing progress towards the 2010 target, and therefore cannot update its Strategic Plan for the post-

<sup>16</sup> [www.cbd.int/nr4/guidelines/manual.shtml](http://www.cbd.int/nr4/guidelines/manual.shtml)  
<sup>17</sup> [www.tematea.org](http://www.tematea.org)

2010 period, including developing post-2010 targets. Financing this project through UNEP umbrella project for 40 countries was therefore selected as the most cost effective approach after a similar modality was found to be highly successful in Phases I and II which has supported 90 countries, and also based on the success of this modality during the implementation of the project for the CBD Third National Report.

48. The project will assist a maximum of 40 countries in securing GEF enabling activity funding for the purpose of reporting on progress in the fulfillment of 2010 Targets for biodiversity at the national level. Enabling Activity funding implies full cost funding provided by the GEF, as it is fully incremental. Still, this project is demonstrating the ability to leverage a co-financing at country level through in kind contributions from 40 countries.

49. Given the umbrella nature of this project, government co-financing is determined on a rolling basis once individual country proposals are received. In Phases I and II, there was a total of \$958,400 for 90 country proposals accounted for which was an average \$10,648 per country. For Phase III, more or less the same level of government commitment per country in the form of co-financing is expected, and that is why a total figure of \$500,000 from 40 countries has been placed in Table B.

**G. INDICATE RISKS, INCLUDING CLIMATE CHANGE RISKS, THAT MIGHT PREVENT THE PROJECT OBJECTIVE(S) FROM BEING ACHIEVED AND OUTLINE RISK MANAGEMENT MEASURES:**

50. In phases I and II, submission of 4<sup>th</sup> National Reports to the CBD has been slow- despite funds being available months earlier to many countries. For example only 31 countries had submitted by 27<sup>th</sup> April 2009. However, the same trend was observed during the preparation of the 3<sup>rd</sup> NR. It is anticipated that in the next few months submissions will reach 60% and over. Slow working at country level is the biggest threat to this project, and will be addressed by targeting the countries individually and also by inviting the countries to regional workshops to discuss their drafts of 4<sup>th</sup> national reports. Other risks are as follows:

<b>Risk</b>	<b>level</b>	<b>Risk Mitigation</b>
Experience from the Third National Report Umbrella Projects (both UNDP's and UNEP's) showed that many countries have been slow in preparing and remitting country requests. Often requests were incomplete or contained inconsistent text.	Medium	1- Experts or consultants will be engaged to train country teams during regional workshops and follow up on the quality of the reports 2- Sample country requests and related guidance materials will be developed to help countries prepare country requests 3- Requests will be accepted in English. However request templates will be available in English, French and Spanish to facilitate understanding in the preferred language. 4- Information on operational procedures and substantive guidance prepared for phases I and II will continue to be available for countries in English, French and Spanish in the CBD website.
The review of several requests also showed that many countries missed the opportunity to truly involve civil society in consultations.	Medium	5- Instructions for consultations have expressly pointed out that involvement of CSO is crucial in the process.
The CBD may reject submissions beyond the original deadline of 30 March 2009 for 4NR.	Low	Phase III will be implemented after the official deadline for submission of 4th National Reports to the CBD (March 30 <sup>th</sup> 2009). However, SCBD has confirmed that the 4 <sup>th</sup> National Reports of those countries funded through Phase III will be utilized for reviewing progress

		towards the 2010 target, considering there is still one year and a half between the deadline and COP 10 in 2010. Extra effort will be exerted during Phase III to make sure that countries complete and submit the reports as soon as possible. Regular communication with funded countries by both UNEP GEF and SCBD will be undertaken to this end.
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#### **H. EXPLAIN HOW COST-EFFECTIVENESS IS REFLECTED IN THE PROJECT DESIGN:**

51. The project outputs and outcomes could have been arrived at using other approaches. One such approach would be for UNEP or other GEF agencies to process one country at a time as was done for 1<sup>st</sup> and 2<sup>nd</sup> national reports. Using an umbrella approach saves time and funds and produces results faster.
52. Expecting countries to self-finance the preparation of their fourth national reports and provide merely with the knowledge management framework is another approach that could have been used to arrive at the outcomes of this project. Past experience has shown that this method would be the least effective. Some countries might not deliver at all, while in others cases countries may not use sound methodologies in data collection, consultation and reporting. This would further delay the implementation of the goals of the CBD. Financing this project through UNEP umbrella project for as many countries as possible was therefore selected as the most cost effective approach after a similar modality was found to be highly successful.
53. The approach in Phase III is to use 80% of the budget for providing grants to countries (see budget below), maximizing therefore the assistance to countries and minimizing transaction costs. Using 10% of the funds for trainings and adaptive management is especially crucial to assure that the rest of the funds do not go to waste.

### **PART III: INSTITUTIONAL COORDINATION AND SUPPORT**

#### **A. INSTITUTIONAL ARRANGEMENT:**

54. This project will be implemented by UNEP GEF. During project proposal preparation, all the institutions that participate in the PSC will have had a chance to comment on the document before it is submitted to the GEF Secretariat for funding request. The PSC will further participate as outlined in guiding the project as outlined in Table 2.

#### **B. PROJECT IMPLEMENTATION ARRANGEMENT:**

55. The project will be managed by UNEP GEF through the designated Task manager for the project, who will work together towards fulfillment of the project's objectives.
56. In summary, UNEP GEF will be responsible for receiving country proposals and subsequent disbursement of funds. The UNEP Task Manager, will be responsible for monitoring implementation in the countries until the reports are ready. In collaboration with the SCBD, UNEP will be responsible for development and management of project related web pages within the CHM framework, i.e. the 4NR Portal, and providing assistance requested through the Portal. A training workshop will be held during Phase III to assist countries and give technical advice on how to conduct the national assessments for progress on 2010 biodiversity targets, and the preparation of the 4<sup>th</sup> national reports. The workshop will be arranged and coordinated by the SCBD. Similar workshops were held in Asia and Africa during Phases I and II of this project and were found to expedite the process of implementation of the project.


57. UNEP will disburse the \$20,000 per country and will use the Small Scale Funding Agreement (SSFA) which is a legal document to be signed with each country before work commences. Specifically, countries will be invited to send a project endorsement letter attached to the funds request, (the Funds Request Template is found in Annex I - Format for Country Request for the 2010 Biodiversity Targets National Assessment).
58. For processing proposals, legal instruments and disbursements to a maximum of 40 countries, which will be done one country at a time, UNEP GEF will engage technical help for the duration of the project. This technical assistance will also support the SCBD CHM team with uploading project information in the 4NR portal as required. The SCBD will further engage technical resources for review of reports and participate in the regional workshop to give technical advice to the country project coordinators. TORs for the consultants can be found in Annex C.
60. As mentioned elsewhere, the Project Steering Committee (PSC) which was established within Phases I and II will continue to work in Phase III. The chairmanship of the PSC will rotate among its members. The committee will meet virtually or face to face, whenever possible during international events. This operational modality was adopted in Phase II and will be continued in Phase III.
61. With regard to the phases and the expected timeframe for project's financial and operational completion indicative Work-plan for Phase III will be followed in accordance with the overview in Annex K (i) and K (ii).
62. In order to accord proper acknowledgement to the GEF for providing funding, a GEF logo should appear on all relevant GEF project publications and the website, including among others, project hardware and vehicles purchased with GEF funds. Any citation on publications regarding projects funded by GEF should also accord proper acknowledgment to GEF. The UNEP logo should be more prominent -- and separated from the GEF logo if possible. The logos of partners of this project will also appear, upon request, on related publications of this project, if these partners contribute to these publications.
63. Overall implementation will be supported by UNEP/GEF staff. Implementation support services, which are different from oversight services, will be treated as direct project costs unequivocally linked to its implementation. These direct costs will be charged directly to the project on actual cost basis by the respective UNEP units that provides the services. Oversight services will be provided by the UNEP task manager and will be drawn from the fees.

#### **PART IV: EXPLAIN THE ALIGNMENT OF PROJECT DESIGN WITH THE ORIGINAL PIF:**

64. The PIF for Phase III, was submitted to the **GEFSEC on 14 April 2009**, and was separate from the one used for Phases I and II as the latter only covered a maximum of 90 countries. Thus the PIF for Phase III is for one MSP accessing \$1,000,000 in GEF funding, plus IAs' fees. The current CEO Approval Request pertains for phase III should ensure a seamless continuation of giving support to the remaining countries.
65. Since the PIF for phase III is freshly prepared, and was approved on **24 April 2009**, there are no issues to be retrofitted or realigned with the PIF. In other words the PIF and this proposal for CEO's approval request are already harmonized.

#### **PART V: AGENCY(IES) CERTIFICATION**

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for CEO Endorsement.

Agency Coordinator, Agency name	Signature	Date ( <i>Month, day, year</i> )	Project Contact Person	Telephone	Email Address
Maryam Niamir- Fuller  UNEP		05/28/2009	Esther Mwangi	254-20- 7623717	Esther.Mwangi@unep.org

# ANNEX A: PROJECT LOG FRAME

TABLE 3. INDICATORS, TARGETS, MEANS OF VERIFICATION AND ASSUMPTIONS FOR THE 'TOWARDS 2010 TARGETS  
MSP - PHASE III

Objectives / Outcomes	Indicators	Targets	Means of verification
<p><b>Goals:</b> Contribution to improved decision-making to achieve the objectives of the CBD and other related instruments; and</p> <p>Contribution to the assessment of key indicators identified in the GEF Focal Area Strategy for Biodiversity</p> <p><b>Objective:</b> Enable GEF eligible CBD parties to assess progress towards the achievement of the 2010 Biodiversity Targets at national level through a country-wide, stakeholder consultation process and to appropriately report and communicate on it.</p>	<p><b>Impact Indicator 1:</b> Information and data from GEF eligible countries on achievement of 2010 targets at national level is made available to the CBD through the fourth national report to the CBD in a timely manner for COP decision-making and for the impact assessment of GEF projects.</p>	<p>At least 60% of participating countries complete their fourth national report to the CBD on time (i.e. within 3 months of receiving funds from UNEP GEF), and 100% of participating countries submit their fourth national report of the CBD before project end.</p> <p>GEF projects adopt the results of the 2010 Targets Assessment in the monitoring and evaluation of their impact.</p>	<ol style="list-style-type: none"> <li>1. As per information provided by the CBD Secretariat.</li> <li>2. Comparison of sample third and fourth national reports from assisted countries (to be carried out in connection with independent evaluation)</li> <li>3. Information on key indicators on the cover page of new GEF projects approved from 2009 on (particularly in the Biodiversity focal area) involving countries that had access to funding under this umbrella project.</li> </ol>
	<p><b>Impact Indicator 2:</b> Biodiversity perspective and fulfillment of 2010 Targets integrated into the MDG policy dialogue at national level.</p>	<p>By 2011, at least 30 out of the 40 developing countries have explicitly incorporated the achievement of the 2010 Biodiversity Targets in their efforts to achieve MDGs.</p>	
<p><b>Outcome 1)</b> Countries supported financially and substantively with their 2010 Biodiversity Targets</p>	<p>1.1. Number of countries assisted vis à vis targets established for Phase III.</p>	<p>A minimum of 35 countries assisted under Phase III</p>	<ul style="list-style-type: none"> <li>▪ 4NR Portal (<a href="http://www.cbd.int/nr4">www.cbd.int/nr4</a>)</li> <li>▪ CBD Website</li> </ul>

Objectives / Outcomes	Indicators	Targets	Means of verification
National Assessments and the production of the fourth national report and other associated reports in a timely and expedited manner.	1.2 Average dwell time in processing country requests from receipt eligible request till their approval.	Average of 46 days maintained as far as possible <sup>18</sup> . Dwell time should not exceed one and a half months under normal circumstances	(www.cbd.int/reports/search)
	1.3 Technical advice to countries during regional workshops for LDCs and SIDS successfully provided.	a sample of reports satisfactorily reviewed just prior to and during regional workshops	
<b>Outcome 2)</b> Monitoring, Learning, Adaptive Feedback & Evaluation			<ul style="list-style-type: none"> <li>4NR Portal (www.cbd.int/nr4)</li> <li>Project Evaluation Report</li> </ul>
	2.1. Content for the CHM webpage's for the '2010 Biodiversity Targets National Assessment updated and improved as per project work plan.	4NR Portal Continuously updated	

<sup>18</sup> This being Phase III of this project, the average dwell time may increase. For example, some 12 countries have already applied for funding for this phase even before the GEFSEC CEO has endorsed the project. This means countries will have to wait longer.

ANNEX B: RESPONSES TO PROJECT REVIEWS (from GEF Secretariat and GEF Agencies, and Responses to Comments from Council at work program inclusion and the Convention Secretariat and STAP at PIF)

RESPONSES TO REVIEW SHEET FROM THE GEFSEC ON THE PIF

**Country/Region:** Global Project

**Title:** Global: Support to GEF Eligible CBD Parties for carrying out 2010 Biodiversity Targets National Assessments - Phases III

**GEFSEC Project ID:** 3920

**GEF Agency Project ID:** T.B.D

**GEF Agency:** UNEP GEF

**Focal Area (s):** Biodiversity GEF-4 Strategic Program (s):

**Anticipated Project Financing (\$):** PPG: \$0 GEF Project Allocation: \$1,000,000 Co-financing:\$1,100,000

**Total Project Cost:** \$ 2,100,000 PIF

**Approval Date:** April 24, 2009

**Anticipated Work Program Inclusion:**

**Program Manager:** Danielius Pivoriunas

**GEF Agency Contact Person:** Esther Mwangi

<u>Review Criteria</u>	<u>Question</u>	<u>Secretariat Comment</u>	<u>UNEP's response</u>
<u>Resource Availability</u>	5. Strategic objectives?	<p>To enable GEF eligible CBD parties to assess progress towards the achievement of the 2010 Biodiversity Targets at the national level and to develop the Fourth National Report.</p> <p>However, it is not clear how this project will help to achieve 2010 Biodiversity targets. Please clarify.</p>	<p>By assessing and reporting on progress made so far on 2010 Biodiversity Targets at national level, this project will reveal what each country has been able to do and how much is not done. For example some countries may have set national targets against the global targets, some may have achieved some progress towards their own targets awhile others may not have done so. This information will be used by the COP and CBD Convention, as well as all the stakeholders trying to support countries to plan next steps in achieving the 2010 targets and further implementation of the CBD. The same information will inform the governments themselves where they have succeeded or fallen short, and therefore motivate them to move faster towards the targets and take more actions to implement the CBD. (A paragraph has been added in the revised PIF see Para 19 of revised PIF)</p>
	8. Is the project design	Yes and sufficiently clear. However, it	This project will support 40 countries that are eligible and

<b><u>Project design</u></b>	sound, its framework consistent & sufficiently clear (in particular for the outputs)?	should also indicate exact number of countries that needs this support and how they will be accountable for the support that will be provided to them. Please provide detailed info.	seek support. Each country will sign a legal instrument with UNEP GEF – which is referred to - Small Scale Funding Agreement (SSFA), which is a binding document which binds them to deliver on the products outlined in the project proposal. While the funds are sent upfront (due to the fact that it is only \$20,000) UNEP will hold each country accountable to deliver, and require a financial account at the end of the project- before project closure.  (In the revised PIF this part has been clarified- see Para 22)
	11. Is the proposed project likely to be cost-effective?	Yes, likely. However, level of co-funding is very low and it would be beneficial for the project if greater contributions for co-funding would be provided. Please provide details.	For the current Phase III project co-financing has been increased to \$1,100,000. In addition countries will be encouraged to give co-financing in cash and in kind.
<b><u>Justification for GEF Grant</u></b>	19. Is the indicative co-financing adequate for the project?	Co-financing should be increased at least to the level of phase I and Phase II. Please provide details.	See answer to question 11 above.

(Responses to review on CEO approval request will be inserted here when done)

#### ANNEX C: CONSULTANTS TO BE HIRED FOR THE PROJECT USING GEF RESOURCES

<i>Position Titles</i>	<i>\$/ person week*</i>	<i>Estimated person weeks**</i>	<i>Tasks to be performed</i>
<b>For Project Management</b>			
Local			
1 financial/admin assistant	590	58	Preparation of legal instrument Disbursement of funds Reconciliation of financial reports from countries
1 project technical consultant	590	96	Assisting in handling country technical requests Researching country documents to correct on accuracy of requests Uploading of country documents into the 4 N R portal Feedback to countries and researching country facts for real time assistance to countries during project execution.
International			
Justification for Travel, if any: attendance of regional workshops and any other international meetings that pertain to 4NR			
<b>For Technical Assistance</b>			
Local			
International			
Evaluation Consultant	3000	7	The UNEP Evaluation Oversight Unit will engage 1 international consultant with experience to evaluate Phase III. TOR will be submitted to the review of the PSC and the Committee will be engaged in the process of selecting candidates. The consultant will need to be independent from project implementation and be fully acquainted with relevant M&E policies of the GEF, and UNEP. The consultants will focus on the project's two major intervention levels: the global level and the national level, the latter through a sample of randomly selected countries, where focused interviews with key stakeholders can be conducted through teleconference. The scope of the evaluation can be summarized as follows:

			1) Project achievements at the impact level. 2) The project's sustainability in terms of the extent to which the benefits of the project will continue, within or outside the project domain, after it has come to an end. 3) The Monitoring & Evaluation procedures put in place by the project, in particular examine (a) the procedure of each of the implementing agencies separately and the coordination between them towards good M&E practices; (b) the selection of indicators, the mechanisms of review and monitoring, and the adaptive management approach that the project would have followed to respond to changes in the context and responses. 4) The implementation approach, focusing on (a) execution arrangements; (b) institutional arrangements; (c) the global and national benefits of the project; (d) coordination arrangements among the various agencies and project partners 5) The efficiency of the technical backstopping 6) The financial management of the project; assess the cost-effectiveness of the activities .
2 consultants	3000	13	To review selected country reports Participate in regional workshops for training project coordinators Give technical advice to workshop participants on how to improve on the reports
Justification for Travel, if any: to attend regional workshops			

\* Provide dollar rate per person week.    \*\* Total person weeks needed to carry out the tasks.

#### ANNEX D: STATUS OF IMPLEMENTATION OF PROJECT PREPARATION ACTIVITIES AND THE USE OF FUNDS

A) EXPLAIN IF THE PPG OBJECTIVE HAS BEEN ACHIEVED THROUGH THE PPG ACTIVITIES UNDERTAKEN.

Note that PPG funds were not required

B) DESCRIBE FINDINGS THAT MIGHT AFFECT THE PROJECT DESIGN OR ANY CONCERNS ON PROJECT IMPLEMENTATION, IF ANY: N/A

**ANNEX E: Record of authorization for Funding in Phases I and II. (Source www.cbd.int/nr4)**

**Phase 1: 42 countries**

Country	Proposal Received	Country Request cleared	Funding Authorised	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report	
<u><a href="#">Afghanistan</a></u>	08-Jan-08	14-Jan-08	19-Mar-08	20000	0	5000	5000	01-Mar-08	TRUE	FALSE	Yes	<u><a href="#">proposal link</a></u>	<u><a href="#">NR4 Report</a></u>
<u><a href="#">Armenia</a></u>	22-Jan-08	28-Jan-08	19-Mar-08	19800	0	0	0	01-Apr-08	FALSE	FALSE	No	<u><a href="#">proposal link</a></u>	
<u><a href="#">Bhutan</a></u>	06-Jun-08	02-Jul-08	07-Jul-08	20000	0	8500	8500	01-Aug-08	TRUE	FALSE	No	<u><a href="#">proposal link</a></u>	<u><a href="#">NR4 Report</a></u>
<u><a href="#">Bosnia and Herzegovina</a></u>	31-Mar-08	20-Apr-08	24-Apr-08	20000	0	2000	2000	01-May-08	FALSE	FALSE	No	<u><a href="#">proposal link</a></u>	
<u><a href="#">Cameroon</a></u>	21-Apr-08	13-Apr-08	23-Apr-08	20000	0	10000	10000	13-Jun-08	FALSE	FALSE	No	<u><a href="#">proposal link</a></u>	<u><a href="#">NR4 Report</a></u>
<u><a href="#">Chile</a></u>	13-Mar-08	04-Apr-08	07-Apr-08	20000	5000	12320	17320	30-Apr-08	FALSE	FALSE	No	<u><a href="#">proposal link</a></u>	
<u><a href="#">China</a></u>	16-Apr-08	07-May-08	12-May-08	20000	20000	0	20000	31-May-08	FALSE	FALSE	Yes	<u><a href="#">proposal link</a></u>	<u><a href="#">NR4 Report</a></u>
<u><a href="#">Côte d'Ivoire</a></u>	31-Dec-07	09-Jan-08	19-Mar-08	20000	0	5000	5000	15-Jan-08	TRUE	FALSE	Yes	<u><a href="#">proposal link</a></u>	<u><a href="#">NR4 Report</a></u>
<u><a href="#">Croatia</a></u>	02-Jan-08	12-Jan-08	19-Mar-08	20000	19000	5000	24000	29-Feb-08	FALSE	FALSE	Yes	<u><a href="#">proposal link</a></u>	
<u><a href="#">Cuba</a></u>	28-Feb-08	10-Mar-08	20-Mar-08	20000	0	25000	25000	01-May-08	FALSE	FALSE	Yes	<u><a href="#">proposal link</a></u>	<u><a href="#">NR4 Report</a></u>
<u><a href="#">Democratic</a></u>	30-Apr-	14-	15-May-08	20000	0	60000	60000	30-Jun-08	FALSE	TRUE	No	<u><a href="#">proposal</a></u>	<u><a href="#">NR4</a></u>

Country	Proposal Received	Country Request cleared	Funding Authorised	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report	
<a href="#"><u>Republic of the Congo</u></a>	08	May-08										<a href="#"><u>link</u></a>	<a href="#"><u>Report</u></a>
<a href="#"><u>Djibouti</u></a>	26-Jan-08	01-Feb-08	20-Mar-08	20000	0	2000	2000	08-Feb-31	TRUE	FALSE	No	<a href="#"><u>proposal link</u></a>	<a href="#"><u>NR4 Report</u></a>
<a href="#"><u>Dominica</u></a>	23-Apr-08	14-May-08	19-May-08	20000	0	7000	7000	31-May-08	FALSE	TRUE	No	<a href="#"><u>proposal link</u></a>	
<a href="#"><u>Ghana</u></a>	15-May-08	02-Jul-08	04-Aug-08	20000	0	8000	8000	15-Jul-08	FALSE	FALSE	Yes	<a href="#"><u>proposal link</u></a>	<a href="#"><u>NR4 Report</u></a>
<a href="#"><u>Guinea</u></a>	11-Mar-08	13-May-08	31-May-08	20000	0	0	0	01-Jun-08	TRUE	FALSE	Yes	<a href="#"><u>proposal link</u></a>	
<a href="#"><u>India</u></a>	02-May-08	14-May-08	15-May-08	20000	10000	10000	20000	31-May-08	FALSE	FALSE	No	<a href="#"><u>proposal link</u></a>	
<a href="#"><u>Indonesia</u></a>	19-Mar-08	03-Apr-08	10-Apr-08	20000	3563	5320	8883	31-May-08	FALSE	FALSE	No	<a href="#"><u>proposal link</u></a>	
<a href="#"><u>Jordan</u></a>	31-Jan-08	31-Jan-08	20-Mar-08	20000	0	5000	5000	31-Mar-08	FALSE	FALSE	No	<a href="#"><u>proposal link</u></a>	<a href="#"><u>NR4 Report</u></a>
<a href="#"><u>Kyrgyzstan</u></a>	10-Jan-08	14-Jan-08	19-Mar-08	20000	0	4000	4000	01-Mar-08	FALSE	FALSE	Yes	<a href="#"><u>proposal link</u></a>	<a href="#"><u>NR4 Report</u></a>
<a href="#"><u>Lebanon</u></a>	19-May-08	02-Jul-08	24-Jul-08	20000	0	8000	8000	15-Jul-08	FALSE	FALSE	No	<a href="#"><u>proposal link</u></a>	
<a href="#"><u>Liberia</u></a>	15-Jan-08	15-Jan-08	19-Mar-08	20000	1500	3500	5000	01-Feb-08	TRUE	FALSE	Yes	<a href="#"><u>proposal link</u></a>	<a href="#"><u>NR4 Report</u></a>
<a href="#"><u>Malaysia</u></a>	06-Jun-08	02-Jul-08	07-Jul-08	20000	0	35000	35000	15-Jul-08	FALSE	FALSE	Yes	<a href="#"><u>proposal link</u></a>	
<a href="#"><u>Mauritania</u></a>	12-Dec-07	07-Jan-08	19-Mar-08	20000	0	0	0	20-Jan-08	TRUE	FALSE	No	<a href="#"><u>proposal link</u></a>	
<a href="#"><u>Morocco</u></a>	05-Feb-08	05-Feb-08	20-Mar-08	20000	0	3000	3000	15-Feb-08	FALSE	FALSE	No	<a href="#"><u>proposal link</u></a>	<a href="#"><u>NR4 Report</u></a>
<a href="#"><u>Mozambique</u></a>	14-Mar-08	31-Mar-08	24-Apr-08	20000	0	15000	15000	15-Apr-08	FALSE	FALSE	No	<a href="#"><u>proposal link</u></a>	

Country	Proposal Received	Country Request cleared	Funding Authorised	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report	
<a href="#">Nepal</a>	18-Apr-08	07-May-08	13-May-08	20000	0	5200	5200	31-May-08	TRUE	FALSE	Yes	<a href="#">proposal link</a>	<a href="#">NR4 Report</a>
<a href="#">Nicaragua</a>	24-Mar-08	30-Apr-08	14-May-08	20000	0	10000	10000	30-Apr-08	TRUE	FALSE	Yes	<a href="#">proposal link</a>	
<a href="#">Niger</a>	22-Apr-08	24-Apr-08	24-Apr-08	20000	0	17700	17700	01-May-08	FALSE	FALSE	No	<a href="#">proposal link</a>	<a href="#">NR4 Report</a>
<a href="#">Niue</a>	19-Mar-08	01-Apr-08	02-Apr-08	19980	0	6000	6000	01-May-08	TRUE	FALSE	Yes	<a href="#">proposal link</a>	<a href="#">NR4 Report</a>
<a href="#">Philippines</a>	09-May-08	14-May-08	15-May-08	20000	0	5000	5000	02-Jun-08	FALSE	TRUE	No	<a href="#">Proposal link</a>	
<a href="#">Republic of Moldova</a>	20-Mar-08	02-Apr-08	10-Apr-08	20000	0	8568	8568	30-Apr-08	FALSE	FALSE	Yes	<a href="#">proposal link</a>	
<a href="#">Russian Federation</a>	24-Apr-08	14-May-08	14-May-08	20000	0	5000	5000	01-Apr-08	FALSE	FALSE	No	<a href="#">proposal link</a>	
<a href="#">Saint Vincent and the Grenadines</a>	23-Apr-08	14-May-08	19-May-08	20000	1500	4500	6000	31-May-08	FALSE	TRUE	No	<a href="#">Proposal link</a>	
<a href="#">Sao Tome and Principe</a>	28-Feb-08	04-Mar-08	18-Mar-08	20000	0	2000	2000	25-Feb-08	TRUE	TRUE	No	<a href="#">Proposal link</a>	
<a href="#">Sri Lanka</a>	08-Apr-08	15-May-08	16-May-08	20000	9600	6500	16100	31-May-08	FALSE	FALSE	Yes	<a href="#">Proposal link</a>	<a href="#">NR4 Report</a>
<a href="#">Syrian Arab Republic</a>	04-Jun-08	01-Jul-08	24-Jul-08	20000	0	4000	4000	15-Jul-08	FALSE	FALSE	Yes	<a href="#">Proposal link</a>	
<a href="#">Tajikistan</a>	11-Jan-08	14-Jan-08	19-Mar-08	20000	0	12000	12000	15-Feb-08	FALSE	FALSE	Yes	<a href="#">Proposal link</a>	<a href="#">NR4 Report</a>
<a href="#">Togo</a>	10-Apr-08	22-Apr-08	24-Apr-08	20000	0	10000	10000	01-Jun-08	TRUE	FALSE	Yes	<a href="#">Proposal link</a>	
<a href="#">Tunisia</a>	31-Jan-08	01-Feb-08	20-Mar-08	20000	0	10000	10000	15-May-08	FALSE	FALSE	No	<a href="#">Proposal link</a>	
<a href="#">Turkmenistan</a>	17-Jan-	20-Jan-	19-Mar-08	20000	0	10000	10000		FALSE	FALSE	Yes	<a href="#">Proposal</a>	

Country	Proposal Received	Country Request cleared	Funding Authorised	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report
	08	08										<a href="#">link</a>
<u>Uganda</u>	14-Apr-08	07-May-08	13-May-08	20000	0	0	0	31-May-20	TRUE	FALSE	Yes	<a href="#">Proposal link</a>
<u>Viet Nam</u>	22-Feb-08	10-Mar-08	20-Mar-08	20000	5000	10000	15000	31-Mar-08	FALSE	FALSE	No	<a href="#">Proposal link</a>

## Phase 2: 41 countries

Country	Proposal Received	Country Request cleared	Funding Authorized	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report Country
<u>Algeria</u>	07-Aug-08	30-Oct-08	17-Dec-08	20000	0	0	0	01-Jan-09	FALSE	FALSE		<a href="#">NR4 Report</a>
<u>Angola</u>	28-Jul-08	29-Oct-08	17-Dec-08	20000	5500	8000	13500	01-Jan-09	TRUE	FALSE		No NR4 yet
<u>Antigua and Barbuda</u>	2009-01-06	2009-02-18	2009-02-12	20000	11350	3000	14350	2009-02-15	FALSE	TRUE		No NR4 yet
<u>Argentina</u>	05-Aug-08	04-Nov-08	17-Dec-08	20000	15000	5000	20000	01-Jan-09	FALSE	FALSE		No NR4 yet
<u>Bahamas</u>	05-Nov-08	05-Nov-08	17-Dec-08	20000	0	11621	11621	01-Jan-09	FALSE	TRUE		No NR4 yet
<u>Bangladesh</u>	`_2009-	2009-	2009-02-	20000	0	20000	20000	2009-02-	TRUE	FALSE		No

Country	Proposal Received	Country Request cleared	Funding Authorized	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report Country
	02-11	02-19	24					20				NR4 yet
<u>Belize</u>	2009-02-16	2009-02-19	2009-02-24	20000	0	4500	4500	2009-03-01	FALSE	FALSE		No NR4 yet
<u>Benin</u>	2009-02-03	2009-02-05	2009-02-09	20000	0	2500	2500	2006-08-30	TRUE	FALSE		No NR4 yet
<u>Botswana</u>	08-Sep-08	31-Oct-08	17-Dec-08	20000	6000	30000	36000	10-Jan-09	FALSE	FALSE		No NR4 yet
<u>Burkina Faso</u>	18-Jul-08	30-Oct-08	17-Dec-08	20000	0	0	0	01-Jan-09	TRUE	FALSE		No NR4 yet
<u>Burundi</u>	20-Nov-08	07-Dec-08	17-Dec-08	20000	0	1000	1000	01-Jan-09	TRUE	FALSE		No NR4 yet
<u>Central African Republic</u>	2009-03-06	2009-03-06	2009-03-06	20000	0	0	0	2009-03-15	TRUE	FALSE		No NR4 yet
<u>Chad</u>	2009-01-10	2009-02-18	2009-02-13	19995	0	6000	6000	2009-02-15	TRUE	FALSE		No NR4 yet
<u>Comoros</u>	18-Dec-08	24-Dec-08	24-Dec-08	20000	0	6000	6000	2003-12-31	TRUE	TRUE		No NR4 yet
<u>Congo</u>	2008-11-04	2009-02-03	2009-02-04	20000	0	5000	5000	2009-02-02	FALSE	FALSE		No NR4 yet

Country	Proposal Received	Country Request cleared	Funding Authorized	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report Country
<u>Costa Rica</u>	29-Aug-08	31-Oct-08	17-Dec-08	20000	0	9000	9000	01-Jan-09	FALSE	FALSE		No NR4 yet
<u>Dominican Republic</u>	30-Jul-08	30-Oct-08	17-Dec-08	20000	2000	10000	12000	01-Jan-09	FALSE	TRUE		No NR4 yet
<u>Ecuador</u>	2009-02-23	2009-02-23	2009-02-24	20000	0	5000	5000	2009-03-02	FALSE	FALSE		No NR4 yet
<u>Egypt</u>	26-Nov-08	07-Dec-08	17-Dec-08	20000	10000	25000	35000	01-Jan-09	FALSE	FALSE		<u>NR4 Report</u>
<u>El Salvador</u>	06-Nov-08	06-Nov-08	17-Dec-08	20000	0	5000	5000	01-Jan-09	FALSE	FALSE		No NR4 yet
<u>Equatorial Guinea</u>	2009-02-23	2009-02-23	2009-02-25	19500	0	0	0	2009-03-01	TRUE	FALSE		No NR4 yet
<u>Eritrea</u>	2009-02-04	2009-02-19	2009-02-24	20000	0	5000	5000	2009-02-20	TRUE	FALSE		No NR4 yet
<u>Ethiopia</u>	06-Aug-08	30-Oct-08	17-Dec-08	20000	0	5000	5000	01-Jan-09	TRUE	FALSE		No NR4 yet
<u>Grenada</u>	19-Sep-08	04-Nov-08	17-Dec-08	20000	0	8000	8000	01-Jan-09	FALSE	TRUE		No NR4 yet
<u>Guatemala</u>	11-Mar-08	07-Dec-09	17-Dec-08	20000	0	7000	7000	01-May-08	FALSE	FALSE		No NR4 yet
<u>Guinea-</u>	21-Nov-	07-Dec-	17-Dec-08	20000	0	0	0	01-Jan-09	TRUE	TRUE		No

Country	Proposal Received	Country Request cleared	Funding Authorized	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report Country
<u>Bissau</u>	08	08										NR4 yet
<u>Honduras</u>	14-Oct-08	07-Nov-08	17-Dec-08	20000	0	3000	3000	01-Jan-08	FALSE	FALSE		No NR4 yet
<u>Kenya</u>	08-Dec-08	18-Dec-08	17-Dec-08	20000	2000	10000	12000	02-Jan-09	FALSE	FALSE		No NR4 yet
<u>Mali</u>	12-Aug-08	31-Oct-08	17-Dec-08	20000	2000	0	2000	01-Jan-09	TRUE	FALSE		No NR4 yet
<u>Mauritius</u>	17-Oct-08	04-Nov-08	17-Dec-08	20000	0	12000	12000	01-Jan-08	FALSE	TRUE		No NR4 yet
<u>Mongolia</u>	2009-01-05	2009-02-06	2009-02-09	20000	0	3000	3000	2009-01-17	FALSE	FALSE		No NR4 yet
<u>Pakistan</u>	06-Jun-08	02-Jul-08	17-Dec-08	20000	0	0	0		FALSE	FALSE		No NR4 yet
<u>Rwanda</u>	21-Oct-08	04-Nov-08	17-Dec-08	20000	0	5000	5000	01-Jan-09	TRUE	FALSE		No NR4 yet
<u>Saint Lucia</u>	2009-01-07	2009-02-05	2009-02-06	20000	0	15000	15000	2009-01-15	FALSE	TRUE		No NR4 yet
<u>Solomon Islands</u>	2009-02-16	2009-02-19	2009-02-25	18000	18000	3000	21000		TRUE	TRUE		No NR4 yet
<u>Sudan</u>	2009-02-	2009-	2009-03-	20000	0	15000	15000	2009-02-	TRUE	FALSE		No

Country	Proposal Received	Country Request cleared	Funding Authorized	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report Country
	15	02-19	24					28				NR4 yet
<u>Trinidad and Tobago</u>	2008-12-24	2009-02-03	2009-02-11	20000	0	15000	15000	2009-02-02	FALSE	TRUE		No NR4 yet
<u>United Republic of Tanzania</u>	2009-03-03	2009-03-03	2009-03-03	20000	0	0	0	2009-03-30	TRUE	FALSE		No NR4 yet
<u>Venezuela</u>	2009-02-27	2009-02-27	2009-02-24	20000	0	47620	47620	2008-09-01	FALSE	FALSE		No NR4 yet
<u>Yemen</u>	03-Nov-08	04-Nov-08	17-Dec-08	20000	0	10000	10000	01-Jan-09	FALSE	FALSE		No NR4 yet
<u>Zambia</u>	07-Nov-08	07-Nov-08	17-Dec-08	20000	7500	2500	10000	01-Jan-09	TRUE	FALSE		No NR4 yet

- **14** Countries Pending authorization and or classified as Beyond the 90<sup>th</sup> Country

Country	Proposal Received	Country Request cleared	Funding Authorised	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report
<u>Barbados</u>	Beyond 90th country. Nothing received but an email indicating interest.											

Country	Proposal Received	Country Request cleared	Funding Authorised	GEF Amount (\$)	Co-Funding In cash (\$)	Co-Funding In-kind (\$)	Total Co-funding	Estimated Start Date	LDC	SIDS	Interest In Additional 2010 work	File(s) proposals and NR4 report
<u>Cape Verde</u>	2009-03-23	Beyond 90th country.										
<u>Cook Islands</u>	2009-03-26	2009-03-26		20000		7000		2009-03-15	FALSE	FALSE		
<u>Guyana</u>	2009-04-02	Beyond 90th country.										
<u>Iran (Islamic Republic of)</u>	OFP letter received <u>2009-03-16</u> . LOE received. Proposal is incomplete (160309). It is now the Iranian New Year and proposal will be completed after 040409. (240309).											
<u>Lesotho</u>	2009-04-02	2009-04-02		20000	5000	2000		2009-04-13	TRUE	FALSE		
<u>Madagascar</u>	2009-03-12	2009-03-19		20000				2009-03-30	TRUE	FALSE		
<u>Peru</u>	Beyond 90th country. OFP letter received. <u>2009-03-26</u>											
<u>Samoa</u>	2009-04-02	Beyond 90th country.										
<u>Senegal</u>	2009-03-22	2009-03-31		20000				2009-04-06	TRUE	FALSE		
<u>Seychelles</u>	2009-03-21	Proposal incomplete. No LOE received (210309).										
<u>Tuvalu</u>	2009-03-13	2009-03-19		20000	10000	5000		2009-02-09	TRUE	FALSE		

## Annex F. Reporting on Results from Project's Phase I& II using the project log frame

Objectives / Outcomes	Indicators	Targets	Achievements by April 2009	Means of verification
<p><b>Goals:</b> Contribution to improved decision-making for the conservation of global biodiversity; and</p> <p>Contribution to the assessment of key indicators identified in the GEF Focal Area Strategy for Biodiversity</p> <p><b>Objective:</b> Enable GEF eligible CBD parties to assess progress towards the achievement of the 2010 Biodiversity Targets at national level through a country-wide, stakeholder consultation process and to appropriately report and communicate on it.</p>	<p><b>Impact Indicator 1:</b> Information and data from GEF eligible countries on achievement of 2010 targets at national level is made available to the CBD through the fourth national report to the CBD in a timely manner for COP decision-making and the preparation of the third edition of the Global Biodiversity Outlook and for the impact assessment of GEF projects.</p>	<p>At least 60% of participating countries complete their fourth national report to the CBD on time (i.e. before the deadline of March 30, 2009) and 100% of participating countries submit their fourth national report of the CBD before project end.</p> <p>GEF projects adopt the results of the 2010 Targets Assessment in the monitoring and evaluation of their impact.</p>	<p>So far 31 countries ( as of 27<sup>th</sup> April 2009) have submitted their reports to SCBD</p> <p>Deadline already passed.</p> <p>Feed back not yet received from countries</p>	<p>4. As per information provided by the CBD Secretariat.</p> <p>5. Comparison of sample third and fourth national reports from assisted countries (to be carried out in connection with independent evaluation)</p> <p>6. Information on key indicators on the cover page of new GEF projects approved from 2008 on (particularly in the Biodiversity focal area) involving countries that had access to funding under this umbrella project.</p>
	<p><b>Impact Indicator 2:</b> Biodiversity perspective and fulfillment of 2010 Targets integrated into the MDG policy dialogue at national level.</p>	<p>By 2010, at least 90 developing countries have explicitly incorporated the achievement of the 2010 Biodiversity Targets in their efforts to achieve MDGs.</p>	<p>Not yet applicable.</p>	
<p><b>Outcome 1)</b> Countries supported financially and substantively with their 2010 Biodiversity</p>	<p>1.1. Number of countries assisted vis à vis targets established for Phase I.</p>	<p>A maximum of 90 countries assisted in Phases I and II.</p>	<p>90 countries were assisted in Phases I and II.</p>	<ul style="list-style-type: none"> <li>▪ Queries to the 2010 Targets database</li> <li>▪ Sign-off on activity completion by UNDP</li> </ul>

Objectives / Outcomes	Indicators	Targets	Achievements by April 2009	Means of verification
Targets National Assessments and the production of the fourth national report and other associated reports in a timely and expedited manner.	1.2 Average dwell time in processing country requests from receipt eligible request till their approval.	Average of 31.5 days maintained. Dwell time should not exceed 2 months under normal circumstances	The average dwell time in phase I & 2 was 30.0 working days. For seven out of 39 countries the dwell time exceeded 2 months, as UNDP budget was not operational until mid-Mar 2008.	Country Representative and CBD focal point <ul style="list-style-type: none"> <li>2010 Biodiversity Targets National Assessment Website</li> <li>CBD Website</li> </ul>
	1.3 Review of fourth national reports for LDCs and SIDS successfully provided.	Min. 8 reports satisfactorily reviewed as per demand (see Assumption 2)	Only 2 countries requested reviews. The PSC voted to change the use of the allocated funds for this purpose to bring CBD focal points together for technical support in a regional workshop in May 2009.	
<b>Outcome 2)</b> Monitoring, Learning, Adaptive Feedback & Evaluation	2.1. “ <i>Guide to Countries Assessing Progress Towards 2010 Biodiversity Targets</i> ” completed and disseminated.	Guide completed as per TOR latest three months after project start.	The ‘Towards 2010 Guidebook’ was published in July 2008.	<ul style="list-style-type: none"> <li>CBD Website</li> <li>Content and appearance of the ‘2010 Biodiversity Targets National Assessment’ webpage’s</li> <li>User surveys tbd.</li> <li>Project Evaluation Report</li> </ul>
	2.2. Content for the CHM webpage’s for the ‘2010 Biodiversity Targets National Assessment updated and improved as per project work plan.	Necessary webpage’s added to the CBD CHM website latest three months after project start.	The 4NR Portal has been online since May 2008; it is kept updated and under further development.	

## ANNEX G. COUNTRY ENDORSEMENT LETTER

66. Update on Phases I and II:

All the letters pertaining to Phase I and II can be accessed in country proposals that are posted in the 4NR Portal ([www.cbd.int/nr4](http://www.cbd.int/nr4)).

67. Endorsement letter for Phases III

It is expected that a maximum of 40 countries will access funding from Phase III. Table 5 shows all eligible countries which did not access funding in phases I and II. Some of the remaining countries may have sent in endorsements for 4NR to UNEP and these can be found at the following link:

<ftp://ftp.unon.org/dgefftp/lettersfor2010targets> . The template for Endorsement letter for phase III is hereby attached:-

[Government Letter Head]

[Date of Endorsement Letter]

To: Director, UNEP DGEF  
 UNEP Division of Global Environment Facility Coordination  
 Email: [Maryam.niamir-fuller@unep.org](mailto:Maryam.niamir-fuller@unep.org)

**Subject: Endorsement for Country Activities under the UNEP global umbrella project ‘Towards 2010 Biodiversity Targets’** *“Support to GEF Eligible CBD Parties for carrying out 2010 Biodiversity Targets National Assessments”*

In my capacity as GEF Operational Focal Point for [Country], I confirm that the above request for funding (a) is in accordance with the government’s national priorities and the commitments made by [Country] under the Convention on Biodiversity and (b) has been discussed with relevant stakeholders, including the convention focal point, in accordance with GEF’s policy on public involvement.

Accordingly, I am pleased to endorse the current request for funding for implementing the project. If approved, activities at national level described in the enclosed Country Request Form will be implemented by [national or local implementing organization]. Further, I request UNEP to avail a copy of the country request and this letter to the GEF Secretariat, as well as to other project partners as applicable.

I understand that the total GEF financing being requested for this project is [up to \$ 20,000 per country], exclusive of Agency fee (10%) to UNEP for project cycle management services associated with this project.

It is also understood that specifically for the mentioned umbrella project and as an additional eligibility criterion, the GEF requires that countries must have completed their third national report and/or NBSAP – if they received GEF funding for the same – before requesting funding under this project.

[For countries that have NOT yet completed Biodiversity Enabling Activities that were previously GEF funded, add a paragraph indicating when all the activities will be completed.]

Sincerely,

[Name of Operational Focal Point]  
[Position/Title in Government]

Copy to: Convention Focal Point for UNCBD  
Ms. Esther Mwangi, Task Manager -BD Enabling Activities in UNEP  
([Esther.Mwangi@unep.org](mailto:Esther.Mwangi@unep.org) )

## ANNEX H. THE 2010 BIODIVERSITY GOALS AND TARGETS

### GOALS AND SUB-TARGETS

In decision VII/30, the Conference of the Parties (COP) decided to establish goals and sub-targets (listed below) for each of the identified focal areas to clarify the 2010 Biodiversity Target and promote coherence among the programmes of work of the Convention by providing a flexible framework within which national and/or regional targets may be developed.

The COP requested the Subsidiary Body on Scientific, Technical and Technological Advice to review and further refine the goals and sub-targets, ensuring that they are linked to relevant MDGs, initiatives of the WSSD, and the goals articulated by other relevant international processes and to identify indicators for the sub-targets, ideally associated with the indicators adopted to assess progress towards the 2010 Biodiversity Target.

In decision VIII/15 (see Annex N of this document), the CBD COP defined a framework for monitoring implementation of the achievement of the 2010 target and integration of targets into thematic programmes of work of the Convention. In Annex II to that decision a set of indicators relevant to that framework have been identified and are presented in the table below:

**Table 5. Indicators relevant to the provisional framework of goals and targets**

Goals and targets	Relevant indicators
Focal Area: Protect the components of biodiversity	
Goal 1. Promote the conservation of the biological diversity of ecosystems, habitats and biomes	
Target 1.1: At least 10% of each of the world's ecological regions effectively conserved.	Coverage of protected areas Trends in extent of selected biomes, ecosystems and habitats Trends in abundance and distribution of selected species

Goals and targets	Relevant indicators
Target 1.2: Areas of particular importance to biodiversity protected	Trends in extent of selected biomes, ecosystems and habitats Trends in abundance and distribution of selected species Coverage of protected areas
<b>Goal 2. Promote the conservation of species diversity</b>	
Target 2.1: Restore, maintain, or reduce the decline of populations of species of selected taxonomic groups.	Trends in abundance and distribution of selected species Change in status of threatened species
Target 2.2: Status of threatened species improved.	Change in status of threatened species Trends in abundance and distribution of selected species Coverage of protected areas
<b>Goal 3. Promote the conservation of genetic diversity</b>	
Target 3.1: Genetic diversity of crops, livestock, and of harvested species of trees, fish and wildlife and other valuable species conserved, and associated indigenous and local knowledge maintained.	Trends in genetic diversity of domesticated animals, cultivated plants, and fish species of major socio-economic importance Biodiversity used in food and medicine (indicator under development) Trends in abundance and distribution of selected species
<b>Focal Area: Promote sustainable use</b>	
<b>Goal 4. Promote sustainable use and consumption</b>	
Target 4.1: Biodiversity-based products derived from sources that are sustainably managed, and production areas managed consistent with the conservation of biodiversity.	Area of forest, agricultural and aquaculture ecosystems under sustainable management Proportion of products derived from sustainable sources (indicator under development) Trends in abundance and distribution of selected species Marine trophic index Nitrogen deposition Water quality in aquatic ecosystems
Target 4.2. Unsustainable consumption, of biological resources, or that impacts upon biodiversity, reduced.	Ecological footprint and related concepts
Target 4.3: No species of wild flora or fauna endangered by international trade.	Change in status of threatened species
<b>Focal Area: Address threats to biodiversity</b>	
<b>Goal 5. Pressures from habitat loss, land use change and degradation, and unsustainable water use, reduced.</b>	

Goals and targets	Relevant indicators
Target 5.1. Rate of loss and degradation of natural habitats decreased.	Trends in extent of selected biomes, ecosystems and habitats Trends in abundance and distribution of selected species Marine trophic index
<b>Goal 6. Control threats from invasive alien species</b>	
Target 6.1. Pathways for major potential alien invasive species controlled.	Trends in invasive alien species
Target 6. 2. Management plans in place for major alien species that threaten ecosystems, habitats or species.	Trends in invasive alien species
<b>Goal 7. Address challenges to biodiversity from climate change, and pollution</b>	
Target 7.1. Maintain and enhance resilience of the components of biodiversity to adapt to climate change.	Connectivity/fragmentation of ecosystems
Target 7.2. Reduce pollution and its impacts on biodiversity.	Nitrogen deposition Water quality in aquatic ecosystems
<b>Focal Area: Maintain goods and services from biodiversity to support human well-being</b>	
<b>Goal 8. Maintain capacity of ecosystems to deliver goods and services and support livelihoods</b>	
Target 8.1. Capacity of ecosystems to deliver goods and services maintained.	Biodiversity used in food and medicine (indicator under development) Water quality in aquatic ecosystems Marine trophic index Incidence of Human-induced ecosystem failure
Target 8.2. Biological resources that support sustainable livelihoods, local food security and health care, especially of poor people maintained.	Health and well-being of communities who depend directly on local ecosystem goods and services Biodiversity used in food and medicine
<b>Focal Area: Protect traditional knowledge, innovations and practices</b>	
<b>Goal 9. Maintain socio-cultural diversity of indigenous and local communities</b>	
Target 9.1. Protect traditional knowledge, innovations and practices.	Status and trends of linguistic diversity and numbers of speakers of indigenous languages Additional indicators to be developed
Target 9.2. Protect the rights of indigenous and local communities over their traditional knowledge, innovations and practices, including their rights to benefit-sharing.	Indicator to be developed
<b>Focal Area: Ensure the fair and equitable sharing of benefits arising out of the use of genetic resources</b>	
<b>Goal 10. Ensure the fair and equitable sharing of benefits arising out of the use of genetic resources</b>	

Goals and targets	Relevant indicators
Target 10.1. All access to genetic resources is in line with the Convention on Biological Diversity and its relevant provisions.	Indicator to be developed
Target 10.2. Benefits arising from the commercial and other utilization of genetic resources shared in a fair and equitable way with the countries providing such resources in line with the Convention on Biological Diversity and its relevant provisions	Indicator to be developed
Focal Area: Ensure provision of adequate resources	
Goal 11: Parties have improved financial, human, scientific, technical and technological capacity to implement the Convention	
Target 11.1. New and additional financial resources are transferred to developing country Parties, to allow for the effective implementation of their commitments under the Convention, in accordance with Article 20.	Official development assistance provided in support of the Convention
Target 11.2. Technology is transferred to developing country Parties, to allow for the effective implementation of their commitments under the Convention, in accordance with its Article 20, paragraph 4.	Indicator to be developed

Annex I. Format for Country Request for the 2010 Biodiversity Targets National Assessment – phase III



**UNITED NATIONS ENVIRONMENT PROGRAMME  
GLOBAL ENVIRONMENT FACILITY**

**Proposal for carrying out 2010 Biodiversity Targets National Assessments  
GEF Additional Funding Request for Biodiversity Enabling Activities**

*[All areas highlighted in grey in this template will guide applicants as to where to fill their respective details]*

Summary Project Info	
Country:	[Insert Country Name]
Project Title:	Support to GEF Eligible CBD Parties for carrying out 2010 Biodiversity Targets National Assessments - Phase III

GEF Focal Area:	Biological Diversity
GEF Financing:	US\$ <b>[Insert amount. Not to exceed \$20,000]</b>
Co-financing:	Cash: [Insert amount]
	In-kind: [Insert amount in \$ equivalent]
<b>Country Eligibility / Country Drivenness:</b>	
Date of ratification of CBD:	<b>[Insert Date]</b>
OFP Request letter:	<b>[Insert Name and Contacts of GEF OFP and Date of request letter]</b>
<b>Implementation Details</b>	
Implementing Agency:	UNEP
Executing Agency:	<b>[Insert Name of National Executing Agency]</b>
Duration:	<b>[Insert duration in months]</b>
Estimated Start date:	<b>[Insert Date]</b>

#### ELIGIBILITY

- Countries that have ratified the Convention on Biological Diversity (CBD) and are eligible to GEF Biodiversity funding; and
- Specifically for this umbrella project and as an additional criterion, the GEF requires that countries must have completed their third national report and/or NBSAP – if they received GEF funding for the same – before requesting funding under this project.
- Least Developed Countries (LDCs) and Small Island Developing States (SIDS) are encouraged to apply early.

#### ADDITIONAL FINANCE FOR ‘2010 INDICATOR WORK’ [PARAGRAPH TO BE DELETED IF NOT APPLICABLE]

Note: Should the country wish to invest more in developing national indicators for the 2010-targets – i.e. going beyond the work foreseen in this request and the \$20,000 per country envelope – this can possibly be contemplated through an additional umbrella project using a portion of countries’ individual allocation within the GEF’s Resource Allocation Framework (RAF) between \$100,000 and \$300,000. If this is the case, this can be expressed by ticking the following field:

☐ **[Country] is to be considered for additional work in developing national 2010-targets’ indicators.**

#### 1. OBJECTIVE

The objective of this proposal is to assist **[Insert Country Name]** with carrying out an initial assessment process with regards to applicable 2010 Biodiversity Targets at national level. Considering that the central theme of the fourth national report of the Convention on Biological Diversity is analysis of progress towards the 2010 Biodiversity Targets, the report format will be used for presenting this progress at national level.

Report preparation will be in accord with COP decision VIII/14 and the CBD Secretariat notification 2006-083 Ref No. ITS/NR/LC/MC/55455, sent out to all Parties on July 28, 2006. Countries will

undertake preparation of the reports using the guidelines and format provided at:  
<http://www.biodiv.org/reports/guidelines.aspx> (all UN languages available).

The Government of **[Insert Country Name]** is requesting US\$ **[Insert Amount]** from the Global Environment Facility (GEF) for the 2010 Biodiversity Targets National Assessment. The support provided by the GEF will enable **[Insert Country Name]** to gather the necessary data for assessing 2010 indicators at national level and to carry out a wide consultation process aimed at: (i) disseminating the importance of 2010 Targets for the progressive implementation of the CBD at country level; and (ii) linking, where relevant, reporting on progress towards the 2010 target with progress in achieving other related global goals such as Millennium Development Goals.

The original deadline for fourth national report submissions to the CBD Secretariat was 30 March 2009. While that deadline is now past, countries are requested to submit not later than 3 months from the time funds are received by the country. The preparation of the fourth national report to the CBD will be a key outcome of country activities, but other country-specific products may also be envisaged.

*[State here any other product expected from the 2010 consultation process as a result of implementing this project. These may include other types of reports and publications, theme-specific action plans related to the 2010 Targets assessment and project proposals also based on the assessment.]*

#### STATUS OF EARLIER ASSISTANCE RECEIVED UNDER ENABLING ACTIVITIES

[Please complete table below. If the country has received additional funding for undertaking capacity needs assessments in priority areas of the CBD, and/or for establishment and operationalization of the Clearing House Mechanism, please complete the second and, as applicable, the third part of the table.]

##### 1) National Reporting to CBD

Report	Date of Submission to CBD Secretariat	Current Status/Comments*
National Biodiversity Strategy and Action Plan (NBSAP)	[Insert date]	[Insert status]
1st National Report	[Insert date]	[Insert status]
2nd National Report	[Insert date]	[Insert status]
3rd National Report	[Insert date]	[Insert status]
4th National Report	[Insert expected date]	[Insert status]

\* Indicate the status of plans/reports, whether these are still under finalization or under implementation, and whether these have been endorsed by government or parliament as relevant. Please provide any additional comments in the body text (i.e. outside the table).

##### 2) Capacity Needs Assessments carried out

☐ Yes ☐ No

Start Date:	[Insert date]	End Date:	[Insert Date]
Themes Covered in the Biodiversity Enabling Activities Capacity Needs Assessments			[thick as applicable]
▪ Implementation of measures for in-situ and ex-situ conservation and sustainable use			<input type="checkbox"/>
▪ Methodologies to evaluate and mitigate specific threats to biodiversity components			<input type="checkbox"/>
▪ Biosafety			<input type="checkbox"/>

▪ Initial assessment and monitoring programs, including taxonomy	<input type="checkbox"/>
▪ Conservation and sustainable use of biodiversity important for agriculture	<input type="checkbox"/>
▪ Incentive measures	<input type="checkbox"/>
▪ Access to genetic resources, benefit-sharing and formulation of mechanisms for these purposes	<input type="checkbox"/>
▪ Preservation/ maintenance of biodiversity related knowledge of indigenous and local communities with traditional lifestyles	<input type="checkbox"/>
▪ Any other type of Capacity Assessment: [Indicate which]	<input type="checkbox"/>
<b>3) Clearing House Mechanism (CHM) established</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	[Insert Date]
CHM link: [Insert hyperlink]	
Is the CHM website maintained up to date?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Notes:	[Add any notes on the status of any Biodiversity Enabling Activities and Add-on projects and the status of the national CHM site.]

### ACTIVITIES TO BE CARRIED OUT

This project will identify and consult with the necessary stakeholders for carrying out initial work on the 2010 Biodiversity National Assessment and support the preparation of the fourth national report by following the guidelines provided at: [www.biodiv.org/reports/guidelines.aspx](http://www.biodiv.org/reports/guidelines.aspx).

The preparation activities may include, *inter alia*:

- Establishment of a National Report Coordination Team to oversee the preparation of the report. This team will be responsible for the oversight of all other activities listed below;
- A review of existing information relevant to reporting on the implementation of the CBD at national level, with particular focus on the 2010 indicators. This should furthermore include not only biodiversity information but also activities in other sectors of the national economy that may have positive, negative or synergistic impacts on biodiversity.

[Provide to the extent possible a list of documents, databases and ongoing activities which are available to provide information for use in the 2010 Targets National Assessment.]

- A stakeholder identification process to ensure that relevant stakeholders at all levels of the country are involved in the preparation process

[Provide an indicative list of the stakeholders (e.g. government institutions, academia, NGOs, research institutions, private organizations and others) to be involved in the preparation process and briefly explain how they will be involved.]

- A series of consultations/information sharing with stakeholders

[IMPORTANT: Describe briefly the specific consultations and participatory activities planned.]

- A compilation and synthesis of the inputs for different stakeholders.

- The fourth national report to the CBD prepared and submitted. The report is expected to have the following format as stipulated by the guidelines for preparation:

#### Executive Summary

Chapter I -	Overview of Biodiversity Status, Trends and Threats
Chapter II -	Current Status of National Biodiversity Strategies and Action Plans
Chapter III -	Sectoral and cross-sectoral integration or mainstreaming of biodiversity considerations
Chapter IV -	Conclusions: Progress Towards the 2010 Target and Implementation of the Strategic Plan
Appendix I -	Information concerning reporting Party and preparation of national report
Appendix II -	Further sources of information
Appendix III -	Progress towards Targets of the Global Strategy for Plant Conservation and Programme of Work on Protected Areas
Appendix IV -	National indicators used in the report (optional)

- A final consultation to review the final draft report and to obtain stakeholder agreement on the content before government endorsement and submission to CBD Secretariat.

#### INSTITUTIONAL ARRANGEMENTS

The national executing agency responsible for oversight and implementation of the project preparation will be **[Insert Name of the National Executing Agency]**. The Executing Agency will work closely with the National Biodiversity Task Force/Committee **[Describe the planned institutional arrangements – steering committee, review committee, etc.]**.

The Director/Coordinator/Manager of **[Specify institution/unit as appropriate]** will be responsible for the coordination of project activities. The Director/Coordinator/Manager will provide overall project management and supervision.

A short-term consultant(s) may be recruited to assist in facilitating the review of data and consultations for 2010 Biodiversity Targets National Assessment. **[Describe the use of consultants and their tasks]**.

The UNEP GEF Office will monitor the project in accordance with the agreed budget and outputs and disburse funds to facilitate implementation.

#### OUTPUTS

The main output of the preparation process will be an approved fourth national report prepared in accordance with the CBD guidelines ready to be submitted to the CBD Secretariat and in both hard and electronic format as not later than 3 months from the time funds are received by the country.

[List any other country specific outputs to be achieved during the preparation process.]

# **OUTPUTS BUDGET BREAKDOWN AND WORKPLAN**

<b>Activity</b>	<b>Month 1</b>	<b>Month 2</b>	<b>Month 3</b>	<b>Month 4</b>	<b>GEF Budget estimate (US \$)</b>
Identification and review of existing biodiversity information					\$
Stakeholder consultations and meetings					\$
Preparation and finalization of draft report					\$
[Add any other applicable activity]					\$
<b>Total</b>					<b>\$20,000</b>

# **UNEP BUDGET - RECONCILIATION BETWEEN GEF BUDGET AND CO-FINANCE BUDGET (TOTAL GEF & CO-FINANCE US\$)**

Project title: Support to GEF Eligible CBD Parties for carrying out 2010 Biodiversity Targets National Assessments - Phase III

Project executing partner: National Executing Agency (NEA)

Project implementation period:

\*Name of institution providing co-finance

From:		GEF Cash	Co-Financing from: National Executing Agency (NEA)		Total Financing	
To:			Cash	In-kind	Cash	In-kind
UNEP Budget Line		A	B	C	A+B	C
<b>10</b>	<b>PERSONNEL COMPONENT</b>					
1200	Consultants					
1201	Local Consultants					
<b>1299</b>	<b>Sub-total</b>					
1600	Travel on official business					
1601	Travel on official business					
<b>1699</b>	<b>Sub-total</b>					
<b>1999</b>	<b>Component total</b>					
<b>30</b>	<b>TRAINING COMPONENT</b>					
3300	Meetings/Conferences					
3301	Group Stakeholder Consultations					
<b>3399</b>	<b>Sub-total</b>					
<b>40</b>	<b>EQUIPMENT AND PREMISES COMPONENT</b>					
<b>50</b>	<b>MISCELLANEOUS COMPONENT</b>					
5300	Sundry					
5301	Office Operations/Correspondence					
<b>5399</b>	<b>Sub-total</b>					
<b>5999</b>	<b>Component total</b>					
<b>99</b>	<b>GRAND TOTAL</b>	<b>20,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

## Eligible items:

- National Consultants and, only if the need justifies, International Consultants
- Consultations through workshops, meetings, public hearings, etc. (often charged to line 3301)
- Domestic travel
- Printing costs

## Ineligible items:

- International travel
- Vehicles
- IT equipment, unless the country context clearly justifies the need, in which case suitable explanations must be provided.

## List of LDCs and SIDS eligible for GEF Biodiversity funding (as of UNCTAC's Website)

Countries	LDCs	SIDS	LLDCs	Countries	LDCs	SIDS	LLDCs
Afghanistan	✓		✓	Kiribati	✓	✓	
Angola	✓			Lao People's Democratic Republic	✓		✓
Antigua And Barbuda		✓		Lesotho	✓		✓
Bahamas		✓		Liberia	✓		
Bangladesh	✓			Madagascar	✓		
Barbados		✓		Malawi	✓		✓
Belize		✓		Maldives	✓	✓	
Benin	✓			Mali	✓		✓
Bhutan	✓		✓	Marshall Islands		✓	
Burkina Faso	✓		✓	Mauritania	✓		
Burundi	✓		✓	Mauritius		✓	
Cambodia	✓			Micronesia, The Federated States Of		✓	
Cape Verde	✓	✓		Mozambique	✓		
Central African Republic	✓		✓	Niger	✓		✓
Chad	✓		✓	Niue		✓	
Comoros	✓	✓		Palau		✓	
Congo Democratic Republic	✓			Papua New Guinea		✓	
Cook Islands		✓		Rwanda	✓		✓
Cuba		✓		Saint Lucia		✓	
Djibouti	✓			Saint Vincent And The Grenadines		✓	
Dominica		✓		Samoa	✓	✓	
Dominican Republic		✓		Sao Tome And Principe	✓	✓	
Equatorial Guinea	✓			Senegal	✓		
Eritrea	✓			Seychelles		✓	
Ethiopia	✓		✓	Sierra Leone	✓		
Fiji		✓		Sudan	✓		
Gambia	✓			Suriname		✓	
Grenada		✓		Tanzania, United Republic Of	✓		
Guinea	✓			Togo	✓		
Guinea-Bissau	✓	✓		Tonga		✓	
Guyana		✓		Uganda	✓		✓
Haiti	✓	✓		Vanuatu	✓	✓	
Jamaica		✓					

LDCs - Least Developed Countries; SIDS - Small Island Developing States; LLDCs - Landlocked Developing Countries

## **LETTER OF REQUEST FROM GEF OPERATIONAL FOCAL POINT**

[Please attach or paste signed and dated GEF OFP letter requesting assistance for carrying out the 2010 Biodiversity Targets National Assessment / preparing the fourth national report to the CBD. Letters may be addressed to UNEP using the addresses [Esther.mwangi@unep.org](mailto:Esther.mwangi@unep.org) .

## **I. Project Background**

The fourth national reports provide an important opportunity to review and assessment progress towards the 2010 Biodiversity Target as well as the goals and objectives of the Strategic Plan of the Convention on Biological Diversity. To support eligible countries' efforts in this regard, the Global Environment Facility has approved funds up to 20,000 USD per country through a medium-sized project entitled "Support to GEF eligible CBD parties for carrying out 2010 Biodiversity Targets National Assessments. It also provides the and UNEP GEF with an opportunity for managing the Biodiversity Enabling Activities more strategically in partnership with the CBD Secretariat and other key global actors. The project has a portal which is being constantly updated to provide online status reporting in real-time to the CBD, the GEF, country governments, project partners, and other interested stakeholders ([www.cbd.int/nr4](http://www.cbd.int/nr4)).

Considering that the adequacy of information and data contained in the fourth national reports is crucial for reviewing progress towards the 2010 Biodiversity Target, the medium-sized project document suggested that a sample of draft reports prepared by least developed countries and small island developing states be reviewed (prior to submission to the CBD Secretariat) by a consultant selected by SCBD. This review will be undertaken only for a sample of country reports and will be used by the consultants during workshops to provide technical support and comments to the rest of the country representative who will attend the regional workshops.

## **II. Description of the Required Services**

The consultants selected will be reviewing country reports from a sample of LDCs and SIDs funded by this project. The review will be undertaken in accordance with the requirements specified in section III below, with comments and or suggested changes tracked, as appropriate and a table of issues identified and suggestions for improvement, which will be returned to SCBD and copied to UNEP GEF.

## **III. Details about the Review**

### ***a. Reviewing the 4th national report***

The reviewer will:

- Check whether the report has provided information and analysis as suggested in the guidelines for the fourth national report given by the CBD at (<http://www.cbd.int/nr4/guidelines/guidelines.shtml>);
- Check that the quality of the reports in technical standards, completeness and consistency with known information for the particular country is acceptable;
- Check that it generally follows the proposed structure particularly 4 main chapters and the executive summary as per the guidelines from the CBD:
  - Chapter I. Overview of Biodiversity Status, Trends and Threats*
  - Chapter II. Current Status of National Biodiversity Strategies and Action Plans*
  - Chapter III. Sectoral and cross-sectoral integration or mainstreaming of biodiversity considerations*
  - Chapter IV. Conclusions: Progress towards the 2010 Target and Implementation of the Strategic Plan.*
- Identify areas where improvements can be made and give suggestions;

- Give suggestions for control of the size of the report. It is recommended that in general the report will not exceed 100 pages (not including appendices or annexes).

**b. *Period of Review***

In normal case this project will run till December 2010, so countries could submit their reports for review as soon as they are ready during this period.

**c. *Payment arrangement***

Payment, however, will be in 2 installments:

Installment 1: After completion of review of a number of reports as agreed with the SCBD, and having given assistance to countries during a regional workshop

Installment 2: After completion of final report and findings from the reports reviewed.

**d. *LDCs and SIDs***

Reviews are open only to LDCs or SIDs. Thus the reports could come from any LDCs or SIDs from any region.

## **IV. Competencies**

The consultants to undertake the review will have the following qualifications:

- (1) Strong institutional CV on matters related to biodiversity conservation, the CBD and 2010 Targets
- (2) Deep involvement in reporting matters of the biodiversity-related conventions
- (3) Strong staff base to do the work in the regions where LDCs and SIDs are found.
- (4) Ability to work in English, and French/or Spanish

## **V. Contact at SCBD**

The work will be supervised by the SCBD programme Officer for National Reports, Mr. Lijie Cai (Email: [lijie.cai@cbd.int](mailto:lijie.cai@cbd.int)), in collaboration with UNEP GEF contact point Ms. Esther Mwangi, Email: [esther.mwangi@unep.org](mailto:esther.mwangi@unep.org).

## **VI. Recommended reading for selected institution**

- (1) CBD web pages on national reporting, the 2010 Target, national biodiversity strategy and action plan, thematic areas and cross-cutting issues of the Convention
- (2) CBD Guidelines to the fourth national report, prepared by the CBD Secretariat according to COP guidance; (<http://www.cbd.int/nr4/guidelines/guidelines.shtml>)
- (3) Sample chapters for the fourth national report from the CBD Secretariat as well as final reports submitted and made available on the national reporting webpage of the CBD website <http://www.cbd.int/nr4/guidelines/sample/Reports>
- (4) 4NR portal; ([www.cbd.int/nr4](http://www.cbd.int/nr4))
- (5) Websites of related Conventions such as UNCCD, UNFCCC, and of some partners involved in this project, such as Countdown 2010

**Annex K (i) Table 4. Project WORKPLAN - Phase III**

Objective: Enable GEF eligible CBD parties to assess progress towards the achievement of the 2010 Biodiversity Targets at national level through a country-wide, stakeholder consultation process and to appropriately report and communicate on it.		
Outcome 1) Countries supported financially and substantively with their 2010 Biodiversity Targets National Assessments and the production of the fourth national report and other associated reports in a timely and expedited manner.		
Key Output under Phase III) Financial and substantive support provided to 40 countries for carrying out their 2010 Targets Biodiversity National Assessment.		
	Activities at global and country levels for each country	Timeframe for each country activity
1.1	First draft country request prepared by country partners / RAF compliant endorsement letter provided	country specific
1.3	Approval of country requests by UNEP GEF and / or comments provided, re-drafting	30 days (ideal dwell time)
1.4	Signing of SSFA by country and UNEP/GEF	
1.5	UNEP GEF enters project in budget into IMIS and disburses funds as per instructions in SSFA	Max. 2 weeks after receipt of signed SSFA
1.6	Fund disbursement to countries	Max 1 week after SSFA is signed by both parties
1.7	Implementation of country activities (as described in individual country requests)	country specific
1.9	Submission of fourth national report to the CBD	shortly after report is ready
1.10	Production and dissemination of other products of the project (publications, action plans, proposals etc)	optional and country specific
1.11	Signing off on activity completion by CO and CBD Focal Point	Max. 2 weeks after activity completion
Outcome 2) Monitoring, Learning, Adaptive Feedback & Evaluation		
Output 2.1) Guidance material is available to assist countries and Website for information exchange and networking on the 2010 Biodiversity Targets Biodiversity National Assessment is developed and constantly updated.		
	Activities at global level – Phase II	Timeframe
2.1.1	Preparation and dissemination of the “Towards 2010 Guidebook”, including translation into French and Spanish	This activity not repeated for Phase III but the guidelines prepared for Phases I and II will continue to be in use in Phase III.
2.1.2	4NR Portal (including intranet) created and fully developed within the CHM sites for assisting countries with their 2010 assessments / fourth national report	Creation of Web portal will not be repeated in Phase III. However Web Portal will be maintained during Phase III and throughout implementation
2.1.3	4NR Portal constantly updated	Throughout implementation

Output 2.2) Project is duly monitored and evaluated through collaboration between UNDP and UNEP		
Activities at global level – Phase II		Timeframe
2.2.1	Operational and substantive inputs provided to project partners (GEF Sec, CBD Sec, countries, Countdown 2010, etc., as applicable)	Throughout implementation
2.2.2	Reporting on project progress provided to project partners	Throughout implementation
2.2.3	Project is independently evaluated	After completion of project implementation.

#### ANNEX K (ii). INDICATIVE WORKPLAN FOR PHASE III

Phases	Key activities	2009		2010				2011			
		Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Phase III \$1.0million in GEF funding for approval in the June 2009 WP	Processing of funding for max. 40 countries										
	Project webpage with the CBD CHM regularly updated										
	Review of sample fourth national reports and holding of regional workshops (any time between 2009 Q4 and 2010 Q4)										

## Annex L. Budget in UNEP format

## APPENDIX 1 - RECONCILIATION BETWEEN GEF ACTIVITY BASED BUDGET AND UNEP BUDGET LINE (GEF FUNDS ONLY US\$)

Project title: "Support to GEF Eligible CBD Parties for Carrying out 2010 Biodiversity Targets National Assessments – "

Project number: GFL-2328-XXXX-XXXX

Project executing partner: Government Ministries

Project implementation period:

Expenditure by project component/activity (provide description)

From 1-Jun-09  
:

To: 1-Jun-11

UNEP Budget Line	1: Technical Support to Countries	2: Monitoring, adaptive, management, training / feedback	3: Project Management	Total	Expenditure by calendar year			
					2009	2010	2011	Total
<b>10 PERSONNEL COMPONENT</b>								
<i>1100Project personnel</i>								
1101Technical Support <sup>19</sup>	-	-	56000.0	56,000	14,000	28,000.00	14,000.00	56,000
<b>1199Sub-total</b>	-	-	<b>56000.</b>	<b>56000.</b>	<b>14000.</b>	<b>28000</b>	<b>14000</b>	<b>56000</b>
<i>1200Consultants</i>								
1201 Consultants to look at draft reports <sup>20</sup>	-	40,000	-	40,000	20,000	20,000.00	-	40,000
<b>1299Sub-total</b>	-	<b>40,000</b>	-	<b>40,000</b>	<b>20,000</b>	<b>20,000</b>	-	<b>40,000</b>
<i>1300Administrative Support</i>								
1301Admin Support	-	-	34,000	34,000	17,000	-	17,000.00	34,000
<b>1399Sub-total</b>	-	-	<b>34,000</b>	<b>34,000</b>	<b>17,000</b>	-	<b>17,000</b>	<b>34,000</b>
<i>1600Travel on official business</i>								
<b>1699Sub-total</b>	-	<b>5,000`</b>		<b>15,000</b>	<b>5,000</b>	<b>10,000-</b>	-	<b>15,000</b>
<b>1999 Component total</b>	-	<b>45000.0</b>	<b>100000.0</b>	<b>145,000</b>	<b>56,000</b>	<b>58,000</b>	<b>31,000</b>	<b>145,000</b>

<sup>19</sup> This project is one MSP but with 40 individual subprojects- UNEP will engage Technical & Admin Assistance for 24 months at the G5 level to handle the workload. Please note that the UNEP Task Manager and Fund Management Officer will provide supervision and oversight to the 40 subprojects, but will draw from the Fees for this service.

<sup>20</sup> The SCBD will engage consultants to give advice and review selected drafts of 4<sup>th</sup> national reports and during regional workshops

<b>20</b>	<b>SUB-CONTRACT COMPONENT</b>				-	-	-	
	<i>2200Sub-contracts (MOUs/LOAs for supporting organizations)</i>							
	2201Grants	800,000	-	-	800000	800,000	-	800,000
	<b>2299Sub-total</b>	<b>800,000</b>	<b>-</b>	<b>-</b>	<b>800000</b>	<b>800,000</b>	<b>-</b>	<b>800,000</b>
	<i>2300Sub-contracts (for commercial purposes)</i>							
	<b>2399Sub-total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>2999</b>	<b>Component total</b>	<b>800,000</b>	<b>-</b>	<b>-</b>	<b>800,000</b>	<b>800,000</b>	<b>-</b>	<b>800,000</b>
<b>30</b>	<b>TRAINING COMPONENT</b>							
	<i>3300Meetings/Conferences</i>							
	3301 <sup>1</sup> regional workshop on development of 4th National reports <sup>21)</sup>	-	35,000	-	35,000	35,000	-	35,000
	<b>3399Sub-total</b>	<b>-</b>	<b>35,000</b>	<b>-</b>	<b>35,000</b>	<b>35,000</b>	<b>-</b>	<b>35,000</b>
<b>3999</b>	<b>Component total</b>	<b>-</b>	<b>35,000</b>	<b>-</b>	<b>35,000</b>	<b>35,000</b>	<b>-</b>	<b>35,000</b>
					-	-	-	-
					-	-	-	-
<b>50</b>	<b>MISCELLANEOUS COMPONENT</b>				-	-	-	-
	<i>5500Evaluation</i>							
	5501 <sup>1</sup> Consultant for final evaluation of project <sup>22</sup>	-	20,000	-	20,000	-	-	20,000.00
	<b>5599Sub-total</b>	<b>-</b>	<b>20,000</b>	<b>-</b>	<b>20,000</b>	<b>-</b>	<b>-</b>	<b>20,000</b>
<b>5999</b>	<b>Component total</b>	<b>-</b>	<b>20,000</b>	<b>-</b>	<b>20,000</b>	<b>-</b>	<b>-</b>	<b>20,000</b>
<b>99</b>	<b>GRAND TOTAL</b>	<b>800,000</b>	<b>100,000.00</b>	<b>100,000.00</b>	<b>1,000,000</b>	<b>891,000</b>	<b>58,000.00</b>	<b>51,000.00</b>
								<b>1,000,000.00</b>

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<sup>21</sup> The SCBD, in conjunction with UNEP GEF, will convene 1 regional training workshops to train and give technical advice to CBD focal points or project managers.

<sup>22</sup> The UNEP EOU will engage an evaluator for Phase III

## ANNEX M. LINKS BETWEEN THE 2010 BIODIVERSITY TARGETS AND THE CBD'S FOURTH NATIONAL REPORT

### *About the Fourth National Reports*

Refer to the following webpage's for further information:

<http://www.cbd.int/reports/national.shtml>

<http://www.cbd.int/reports/guidelines.aspx?t=f>

#### INTRODUCTION

At its eighth meeting (Curitiba, March 2006), the Conference of the Parties of the CBD required in its decision VIII/14. (Excerpt from CBD COP Decisions VIII/15 and VIII/14) that CBD Parties submit their fourth national report, with focus on outcomes with respect to the achievement of the 2010 Targets, the goals and objectives of the Strategic Plan of the CBD as well as national biodiversity strategies and action plans.

The guidelines for the fourth national reports were developed based on guidance provided by the first meeting of the Working Group of Review of Implementation (in its Recommendation I/9) and further views expressed at CBD COP 8 and suggestions submitted thereafter. The guidelines were also developed with due consideration given to the experience and lessons learned from previous reporting processes under the Convention, in particular from the second and third national reports.

The fourth national report provides an important opportunity to assess progress towards the 2010 target, drawing upon an analysis of the current status and trends in biodiversity and actions taken to implement the Convention at the national level, in particular implementation of national biodiversity strategies and action plans and mainstreaming biodiversity into relevant sectors.

#### OBJECTIVES OF THE CBD WITH THE FOURTH NATIONAL REPORT

National Reports to the CBD provide an important source of information for review and decision-making processes under the Convention. By assisting GEF eligible countries assessing progress towards the 2010 Biodiversity Targets and preparing their fourth national reports, the project will also indirectly contribute to the advancement of the following 'biodiversity objectives', as defined in the CBD fourth national report guidelines:

Enable CBD Parties to assess and facilitate national implementation of the three objectives of the Convention by:

- Providing an accessible overview of national status and trends of biodiversity and identifying the main threats to biodiversity;
- Assessing implementation of national biodiversity strategies and action plans (NBSAPs);
- Reviewing progress towards the 2010 target and the goals and objectives of the Strategic Plan;
- Identifying needs and future priorities for implementation;
- Communicating with various stakeholders and involving them in implementation.

Enable the Conference of the Parties to:

- Facilitate the decision making processes of the Convention;
- Identify gaps in and define future priorities for the programme of work of the Convention in order to ensure full implementation of the three objectives of the Convention;

- Facilitate the exchange of information among Parties concerning the experience of implementation of the Convention.
- Contribute to the preparation of the third edition of the Global Biodiversity Outlook and its byproducts.

#### COMBINING THE 2010 BIODIVERSITY TARGETS NATIONAL ASSESSMENT WITH THE PRODUCTION OF THE FOURTH NATIONAL REPORT TO THE CBD

CBD COP decision VIII/14, in its first paragraph, recognized the need to align the national reporting process with the framework of evaluating the implementation of the Convention and progress towards the 2010 Target. Therefore, countries should use the format of the fourth national report of the CBD<sup>23</sup> when reporting on progress towards the 2010 Targets. As said above, the central theme of the fourth national report of the CBD is clearly progress at national level towards the 2010 Targets, because countries are requested to elaborate in the last chapter of the report on how all relevant actions taken (including those covered in other chapters of the report) contribute to progress towards the 2010 Targets.

For this reason, countries should combine the production of the fourth national report with the assessment of progress towards 2010 Targets at national level and take the submission of the report as one main outcomes of this project.

The preparation of the fourth national report is also seen as a contribution to the broader assessments of progress towards the MDGs and goals and targets established at the WSSD. Therefore, reporting – in this case through the fourth national report to the CBD – is an important part of the process, however, it should not be considered as the end in itself.

Finally, countries may also envisage that other products and sub-products related to 2010 Targets are a result of project implementation at national level. These may *inter alia* include reports, publications and other ways of disseminating the achievement of 2010 Targets, proposals for the due monitoring of 2010 indicators, action plans aimed at fast-tracking the achievement of particular target or a set of them, etc.

#### ANNEX N. EXCERPT FROM CBD COP DECISIONS VIII/15 AND VIII/14

The following excerpt from COP decisions VIII/14 and VIII/15 contains highlights (underlined text). For the full text of the COP decision and relevant annexes, please consult the CBD Website.

#### ***Decision VIII/15. Framework for monitoring implementation of the achievement of the 2010 target and integration of targets into thematic programmes of work***

##### *The Conference of the Parties*

1. *Notes* that the framework for monitoring implementation of the Convention and achievement of the 2010 target is comprised of the following five components:

- (a) The four goals and 19 objectives of the Strategic Plan adopted by the Conference of the Parties in decision VI/26;

<sup>23</sup> Guidelines and format for the Fourth National Report to the CBD is provided in several UN languages at <http://www.cbd.int/reports/guidelines.aspx?t=f>.

- (b) A limited number of indicators to measure progress in the implementation of the Strategic Plan, to be developed on the basis of the proposed indicators in annex I below;
- (c) The provisional framework for goals and targets, consisting of seven focal areas, 11 goals and 21 targets, adopted in decision VII/30;
- (d) Outcome-oriented indicators to measure progress towards the 2010 target (as adopted by decision VII/30 with amendments recommended by SBSTTA in recommendation X/5, as summarized in annex II below); and
- (e) Reporting mechanisms, including the Global Biodiversity Outlook and national reports;

2. *Decides* to consider at its ninth meeting the process for revising and updating the Strategic Plan with a view to adopting a revised Strategic Plan at the tenth meeting of the Conference of the Parties;

3. *Emphasizes* that the global framework for goals and targets is provisional and will be used until 2010 and *decides* to carry out, as part of the process for revising and updating the Strategic Plan referred to in paragraph 2 above, an in-depth review of the goals and targets, together with associated indicators, for use after 2010;

4. *Notes* the progress made in establishing the 2010 Biodiversity Indicators Partnership, coordinated by the United Nations Environment Programme - World Conservation Monitoring Centre (UNEP-WCMC), and emphasizes the need for a continuing process, supported by adequate financial resources and technical expertise, to implement, and where necessary further develop and test, the global outcome-oriented indicators, as recommended by the Subsidiary Body on Scientific, Technical and Technological Advice (recommendation X/5) appended as annex V to the present decision, particularly those indicators noted as requiring further work;

5. *Endorses* the recommendations of the Subsidiary Body on Scientific, Technical and Technological Advice concerning the further development of the indicators and the identification of organizations that may provide data and coordinate the delivery of individual indicators (recommendation X/5), and *acknowledges* the contribution already made by these organizations and other members of the 2010 Biodiversity Indicators Partnership, including in the preparation of Global Biodiversity Outlook 2;

6. *Encourages* Parties and invites other Governments, international organizations and other relevant bodies to co-operate in making available data and technical expertise and to support the use and improvement of existing international data collection systems in relation to reporting the global outcome-oriented indicators;

7. *Requests* the Executive Secretary, in consultation with the members of the Ad Hoc Technical Expert Group on Indicators for Assessing Progress Towards the 2010 Target, and other partners:

- (a) To elaborate, on the basis of the provisional list of indicators for assessing progress in implementing the goals and objectives of the Strategic Plan contained in annex 1 to this decision, a limited number of relevant, robust and measurable indicators to measure progress in the implementation of the Strategic Plan;
- (b) To support the immediate testing and use of the potential measures identified by the Subsidiary Body on Scientific, Technical and Technological Advice appended as annex V to this decision;
- (c) To promote the further development of the global outcome-oriented indicators, with particular emphasis on those that are closely linked to the Millennium Development Goals, including those related to target 8.2 and other relevant targets;

- (d) To review lessons learned from the use of outcome-oriented indicators in Global Biodiversity Outlook 2 and to develop proposals for future reporting on indicators, including *inter alia* their use in Global Biodiversity Outlook 3, for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice prior to the ninth meeting of the Conference of the Parties;

8. *Emphasizes* that the global application of indicators as well as the assessment of the progress towards the 2010 target should not be used to evaluate the level of implementation of the Convention in individual Parties or regions;

9. *Endorses* the goals and global outcome-oriented targets integrated into the programmes of work on the biodiversity of dry and sub-humid lands, marine and coastal biodiversity, biodiversity of inland water ecosystems, mountain biological diversity and island biodiversity, and into the expanded programme of work on forest biological diversity, as contained in annex IV to the present decision, noting the relationship between these targets and those of the Johannesburg Plan of Implementation of the World Summit on Sustainable Development, the Millennium Development Goals, and the joint work programme on dry and sub-humid lands between the Convention on Biological Diversity and the United Nations Convention to Combat Desertification;

10. *Emphasizes* that the targets, as applied to the programmes of work on the biodiversity of dry and sub-humid lands, marine and coastal biodiversity, biodiversity of inland water ecosystems, mountain biological diversity and island biodiversity, and the expanded programme of work on forest biological diversity, should, in accordance with decision VII/30, be viewed as a flexible framework within which national and/or regional targets may be developed, relevant to the implementation by Parties of the programmes of work and National Biodiversity Strategies and Action Plans, according to national and/or regional priorities and capacities, taking into account differences in biological diversity between countries;

11. *Urges* Parties and *invites* other Governments to develop national and/or regional goals and targets and related national indicators, considering submissions from indigenous and local communities and other stakeholders, as appropriate, and to incorporate them into relevant plans, programmes and initiatives, including national biodiversity strategies and action plans, as well as national action plans of the United Nations Convention to Combat Desertification for the goals and targets of the programme of work on the biodiversity of dry and sub-humid lands, and national forest programmes for the goals and targets of the expanded programme of work on forest biological diversity;

12. *Emphasizes* the need for capacity-building, access to and transfer of technology in accordance *inter alia* with Article 16.2 of the Convention and adequate financial resources, especially for developing countries, particularly the least developed and small island developing States amongst them, and countries with economies in transition, in order to enable them to develop knowledge, including taxonomic knowledge, to gain access to relevant information on their biodiversity, and to better implement activities to achieve and monitor progress towards the goals and targets;

13. *Agrees* to review the goals and global outcome-oriented targets integrated into the programmes of work when these are subjected to an in-depth review in accordance with the multi-year programme of work of the Convention;

14. *Endorses* the guidelines for the review of the programmes of work provided in annex III to the present decision, to be applied for the in-depth review referred to in paragraph 14 above.

## ***Decision VIII/14. National reporting and the next Global Biodiversity Outlook***

### ***The Conference of the Parties***

1. *Recognizes* the need to align the national reporting process with the framework for evaluating implementation of the Convention and progress towards the 2010 target;
2. *Underscores* the need to reduce overall reporting burdens on Parties, taking into account reporting obligations under other Conventions, and other relevant processes;
3. *Decides* that the fourth and subsequent national reports should be outcome-oriented and focus on the national status and trends of biodiversity, national actions and outcomes with respect to the achievement of the 2010 target and the goals of the Strategic Plan of the Convention, and progress in implementation of national biodiversity strategies and action plans;
4. *Decides* that Parties shall submit their fourth national reports by 30 March 2009;
5. *Invites* Parties that anticipate that they may encounter difficulty in completing their reports according to the date set by the Conference of the Parties to advise the Secretariat in advance;
6. *Recommends* that regional and/or sub-regional workshops could facilitate the preparation and where necessary the updating of national biodiversity strategies and action plans and national reports, and the exchange of experiences on implementation of national biodiversity strategies and action plans and on assessment of obstacles for the implementation of the Convention to achieve the objectives of paragraph 3 above ;
7. *Invites* the Global Environment Facility and other bilateral and multilateral financial instruments as appropriate, to provide financial support to eligible Parties for the preparation of their fourth national reports, in a timely fashion and preferably no later than 1 January 2007;
8. *Further invites* the Global Environment Facility to explore and establish easier and expeditious mechanisms for the provision of funds to eligible countries for preparing their future national reports.

### **ANNEX O. TORS FOR THE PROJECT STEERING COMMITTEE**

#### **Terms of Reference for the Project Steering Committee – PSC**

Global Project “*Support to GEF Eligible CBD Parties for carrying out 2010 Biodiversity Targets National Assessments – Phase III*”

Committee membership is composed of one member representing each of the following institutions:

- UNDP/GEF
- UNEP/ GEF
- CBD Secretariat
- MDG Support Programme (hosted by UNDP)
- Countdown 2010 Initiative
- Coordination of the BIP2010 project (UNEP WCMC)

- GEF Secretariat

General roles and responsibilities of the Project Steering Committee:

- Facilitate the successful and coordinated implementation of the project, providing guidance and substantive advice to implementing agencies, including technical and operational inputs.
- Appraise and contribute to the improvement of any important documents and products produced by the project, in particular publications, the website, TORs, evaluation reports etc.
- Propose and agree on modalities of collaboration among project partners, either at global or at country level.

Aspects governing the functionality of the PSC:

1. The committee will meet virtually or face to face, whenever possible during international events.
2. The chairmanship of the PSC will rotate among all of its members.
3. The PSC Secretariat will be assured by one of its members also appointed on a rotational basis

**Annex P: GEF eligible countries that could apply for funding in Phase III**

- |                                |                                          |
|--------------------------------|------------------------------------------|
| 1. Albania                     | 29. Montenegro                           |
| 2. Azerbaijan                  | 30. Myanmar                              |
| 3. Barbados                    | 31. Namibia                              |
| 4. Belarus                     | 32. Nauru                                |
| 5. Bolivia                     | 33. Nigeria                              |
| 6. Brazil                      | 34. Palau, Republic of                   |
| 7. Cape Verde                  | 35. Panama                               |
| 8. Cambodia                    | 36. Papua New Guinea                     |
| 9. Colombia                    | 37. Paraguay                             |
| 10. Fiji                       | 38. Peru                                 |
| 11. FYR Macedonia              | 39. Samoa                                |
| 12. Gabon                      | 40. Serbia                               |
| 13. Gambia                     | 41. Seychelles                           |
| 14. Georgia                    | 42. Sierra Leone                         |
| 15. Guyana                     | 43. South Africa                         |
| 16. Haiti                      | 44. St. Kitts and Nevis                  |
| 17. Iran (Islamic Republic of) | 45. Suriname                             |
| 18. Jamaica                    | 46. Swaziland                            |
| 19. Kazakhstan                 | 47. Thailand                             |
| 20. Kiribati                   | 48. Timor-Leste (Democratic Republic of) |
| 21. Korea (D.P.R.)             | 49. Tonga                                |
| 22. Lao (P.D.R.)               | 50. Turkey                               |
| 23. Libya                      | 51. Ukraine                              |
| 24. Malawi                     | 52. Uruguay                              |
| 25. Maldives                   | 53. Uzbekistan                           |
| 26. Marshall Islands           | 54. Vanuatu                              |
| 27. Mexico                     | 55. Zambia                               |
| 28. Micronesia (F.S.)          | 56. Zimbabwe                             |

