



PRODUCT SPECIFIC LICENSE TERMS
Financial Fusion® TradeForce™ Suite
(“Program”)

IN ADDITION TO THE LICENSE TERMS SET OUT IN THE SYBASE LICENSE AGREEMENT, THE FOLLOWING ADDITIONAL OR DIFFERENT RIGHTS AND ACCOMPANYING OBLIGATIONS AND RESTRICTIONS SHALL APPLY TO THE PROGRAM:

The Program may contain or be provided with component software products from both Sybase and third parties. Except as set forth below, use of all components is limited to use in the same manner and capacity for which the Program as a whole is licensed.

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II. Product Specific Terms and Conditions.

1. Application Programming Interfaces. No direct access to the underlying component application programming interfaces (APIs) of Program is permitted unless explicitly documented in official program documentation. Developers must access all program functionality through the use of officially documented APIs.

2. Scope of License and Restrictions.

(a) **Base Edition.** If Customer has licensed the Base Edition (as indicated on the Price Quotation, Exhibit A or Order), Customer may use the Program on one server with a two (2) CPU limit. Additional CPUs may be licensed independently by paying the applicable license fees on a per CPU basis.

(b) **High Availability (HA) Edition.** If Customer has licensed the HA Edition (as indicated on the Price Quotation, Exhibit A or Order), Customer may use the Program on two (2) physical servers in a load-balanced or failover configuration at the physical location listed on the Price Quotation, Exhibit A or Order.

(c) **Warm Standby.** If Customer has licensed a warm standby Program (as indicated on the Price Quotation, Exhibit A or Order), the warm standby Program shall be limited to the Machine running the operating system shown on the Price Quotation, Exhibit A or Order and may be located at a disaster recovery site or at the physical location listed on the Price Quotation, Exhibit A or Order. A warm standby is a copy of a Program (i) that is loaded, together with any corresponding data, onto a Machine in order to provide a warm standby for another copy of a version of such Program then in use on another Machine (“Production Copy”), (ii) that automatically replicates data from the production Machine on a continuous basis and (iii) that is not being accessed or used in production by Customer at

the same time as the Production Copy. In the event of a failure of the Production Copy, the data from the warm standby Program may be accessed by Customer.

3. **Development/Test License.** Customer may use a development version of the Program on a single physical server (for Base Edition) and for two (2) physical servers (for HA Edition) exclusively in connection with Customer's internal development. Customer may use a test version of the Program on a single physical server (for Base Edition) and for two (2) physical servers (for HA Edition) exclusively in connection with Customer's internal testing.

4. **Message Protocol Adapters and Other Additional Programs.** The media containing the Program also include certain separately licensable message protocol libraries and adapters (the "Adapters") and other programs which are not licensed for use under the Sybase License Agreement accompanying this Program. Customer may not access or use such Adapters or other programs without purchase of separate licenses for those programs. If the license is for use on more than one Machine, each Machine must be running the same operating system.

IF CUSTOMER HAS LICENSED TRADEFORCE SUITE FOR SWIFT EDITION OR THE TRADEFORCE SUITE FOR SWIFT EAI EDITION, CUSTOMER MAY USE THE MESSAGE TYPES CORRESPONDING TO THE LIBRARIES LICENSED UNDER THE APPLICABLE PRICE QUOTATION, EXHIBIT A OR ORDER. THE SWIFT LIBRARIES AND ASSOCIATED MESSAGE TYPES ARE AS INDICATED ON ATTACHMENT A TO THIS PSLT.

5. **Message Broker.** Customer may use Message Broker to customize licensed protocols to communicate between said protocol and Customer's internal applications (i.e. trade order entry applications, allocation applications, position keeping applications, risk assessment applications, etc.) and/or trading counterparty's internal applications. If Customer licenses multiple message protocols within TradeForce (i.e., TradeForce GlobalFIX *and* TradeForce for GSTP, or TradeForce GlobalFIX *and* TradeForce for SWIFT etc.), Customer may use Message Broker to communicate/route and translate messages between the licensed protocols and internal applications. Customer may customize the core message library (specifically licensed to Customer under the applicable Price Quotation, Exhibit A or Order) by duplication, augmentation or replacement of any message library or of message component. Unless specifically licensed on the Price Quotation, Exhibit A or Order, Customers may not use Message Broker to build internal communication libraries not based on the licensed protocols or designed to enable application-to-application integration or customized message development and integration.

III. Sample Code. You may use and modify the source code version of those portions of the Program that are provided as sample code (if any) for your internal use only.

ATTACHMENT A

TradeForce Suite for Swift Edition & TradeForce Suite for Swift EAI Edition Libraries & Message Types

1. Securities Settlement and Reconciliation

MT 508 Intra-Position Advice
MT 524 Intra-Position Instruction
MT 526 General Securities Lending/Borrowing Message
MT 528 ETC Client-Side Settlement Instruction
MT 529 ETC Market-Side Settlement Instruction
MT 535 Statement of Holdings
MT 536 Statement of Transactions
MT 537 Statement of Pending Transactions
MT 538 Statement of Intra-Position Advices
MT 540 Receive Free
MT 541 Receive Against Payment
MT 542 Deliver Free
MT 543 Deliver Against Payment
MT 544 Receive Free Confirmation
MT 545 Receive Against Payment Confirmation
MT 546 Deliver Free
MT 547 Deliver Against Payment Confirmation
MT 548 Settlement Status and Processing Advice
MT 549 Request for Settlement/Status Advice
MT 574 IRSLSST, IRS 1441 NRA (IRS Beneficial Owners' List)
MT 574 W8BENO, IRS 1441 NRA (Form W8-BEN)
MT 575 Statement of Combined Activity
MT 578 Statement Allegement
MT 586 Statement of Settlement Allegements
MT 304 Advice/Instruction of a Third Party Deal
[plus 590, 591, 592, 595, 596, 598, 599]

2. Securities Trade Initiation and Confirmation

MT 500 Instruction to Register
MT 501 Confirmation of Registration or Modifications
MT 502 Order to Buy or Sell
MT 509 Trade Status Message
MT 510 Registration Status and Processing Advice
MT 513 Client Advice of Execution
MT 514 Trade Allocation Instruction
MT 515 Client Confirmation of Purchase or Sale
MT 517 Trade Confirmation Affirmation
MT 518 Market-Side Securities Trade Confirmation
MT 519 Modification of Client Details
MT 528 ETC Client-Side Settlement Instruction
MT 529 ETC Market-Side Settlement Instruction
MT 576 Statement of Open Orders
MT 584 Statement of ETC Pending Trades
[plus 590, 591, 592, 595, 596, 598, 599]

3. Corporate Actions

MT 559 Paying Agent's Claim
MT 564 Corporate Action Notification
MT 565 Corporate Action Instruction
MT 566 Corporate Action Confirmation
MT 567 Corporate Action Status/Processing Advice
MT 568 Corporate Action Narrative
MT 577 Statement of Numbers
MT 579 Certificate Numbers
[plus 590, 591, 592, 595, 596, 598, 599]

4. Securities Lending

MT 503 Collateral Claim
MT 504 Collateral Proposal
MT 505 Collateral Substitution
MT 506 Collateral and Exposure Statement
MT 507 Collateral Status and Processing Advice
MT 516 Securities Loan Confirmation
MT 526 General Securities Lending/Borrowing Message
MT 527 Triparty Collateral Instruction
MT 558 Triparty Collateral Status and Processing

MT 569 Triparty Collateral and Exposure Statement
MT 581 Collateral Adjustment Message
MT 582 Reimbursement Claim or Advice
[plus 590, 591, 592, 595, 596, 598, 599]

5. Foreign Exchange

MT 300 Foreign Exchange Confirmation
MT 303 Forex/Currency Option Allocation Instruction
MT 304 Advice/Instruction of a Third Party Deal
MT 305 Foreign Currency Option Confirmation
MT 306 Foreign Currency Option Confirmation
MT 307 Advice/Instruction of a Third Party FX Deal
MT 308 Instruction for Gross/Net Settlement of Third Party FX Deal
MT 380 Foreign Exchange Order
MT 381 Foreign Exchange Order Confirmation
[plus 390, 391, 392, 395, 396, 398, 399]

6. Loan/Deposits (Money Market)

MT 320 Loan/Deposit Confirmation
MT 321 Instruction to Settle a Third Party Loan/Deposit
MT 330 Call/Notice Loan/Deposit Confirmation
MT 350 Advice of a Loan/Deposit Payment
[plus 390, 391, 392, 395, 396, 398, 399]

7. Interest Rate Swaps

MT 360 Single Currency Interest Rate Derivative Confirmation
MT 361 Cross Currency Interest Rate Swap Confirmation
MT 362 Interest Rate Swap Reset/Advice of Payment
MT 364 Single Currency Termination
MT 365 Cross Currency Termination
[plus 390, 391, 392, 395, 396, 398, 399]

8. Forward Rate Agreements (FRAs)

MT 340 Forward Rate Agreement Confirmation
MT 341 Forward Rate Agreement Settlement Confirmation
[plus 390, 391, 392, 395, 396, 398, 399]

9. Basic Payments

MT 101 Request for Transfer
MT 102 Multiple Customer Credit Transfer
MT 102P Multiple Customer Credit Transfer
MT 103 Single Customer Credit Transfer
MT 103P Single Customer Credit Transfer
MT 104 Customer Direct Debit
MT 107 Direct Debit
MT 110 Advice of Cheque(s)
MT 111 Request for Stop Payment of a Cheque
MT 112 Status of a Request for Stop Payment of a Cheque
MT 200 Financial Institution Transfer for its Own Account
MT 201 Multiple version of MT 200
MT 202 General Financial Institution Transfer
MT 203 Multiple version of MT 202
MT 204 Financial Markets Direct Debit Message
MT 205 Financial Institution Transfer Execution
MT 206 Cheque Truncation Message
MT 207 Request for Financial Institution Transfer
MT 210 Notice to Receive
MT 256 Advice of Non-Payment of Cheque(s)
MT 900 Confirmation of Debit
MT 910 Confirmation of Credit
MT 920 Request Message
MT 935 Rate Change Advice
MT 940 Customer Statement Message
MT 941 Balance Report
MT 942 Interim Transaction Report
MT 950 Statement Message (Nostro Account)
MT 970 Netting Statement

MT 971 Netting Balance Report
MT 972 Netting Interim Statement
MT 973 Netting Request Message
MT 985 Status Enquiry
MT 986 Status Report
[plus 190, 191, 192, 195, 196, 198, 199, 290, 291, 292, 295,
296, 298, 299, 990, 991, 992, 995, 996, 998, 999]

MT646 Payment of Principal and/or Interest
MT649 General Syndicated Facility Message
[plus 690, 691, 692, 695, 696, 698, 699]

10. Precious Metals

MT 600 Precious Metal Trade Confirmation
MT 601 Precious Metal Option Confirmation
MT 604 Precious Metal Transfer/Delivery Order
MT 605 Precious Metal Notice to Receive
MT 606 Precious Metal Debit Advice
MT 607 Precious Metal Credit Advice
MT 608 Statement of a Metal Account
MT 609 Statement of Metal Contracts
[plus 690, 691, 692, 695, 696, 698, 699]

11. Depository Receipts

MT 587 Depository Receipt Instruction
MT 588 Depository Receipt Confirmation
MT 589 Depository Receipt Status/Processing Advice
[plus 590, 591, 592, 595, 596, 598, 599]

12. Documentary Credits

MT 700 Issue of a Documentary Credit
MT 701 Issue of a Documentary Credit
MT 705 Pre-Advice of a Documentary Credit
MT 707 Amendment to a Documentary Credit
MT 710 Advice of a Third Bank's Documentary Credit
MT 711 Advice of a Third Bank's Documentary Credit
MT 720 Transfer of a Documentary Credit
MT 721 Transfer of a Documentary Credit
MT 730 Acknowledgement
MT 732 Advice of Discharge
MT 734 Advice of Refusal
MT 740 Authorization to Reimburse
MT 742 Reimbursement Claim
MT 747 Amendment to Authorization to Reimburse
MT 750 Advice of Discrepancy
MT 752 Authorization to Pay, Accept or Negotiate
MT 754 Advice of Payment/Acceptance/Negotiation
MT 756 Advice of Reimbursement or Payment
MT 760 Guarantee
MT 767 Guarantee Acknowledgement
MT 768 Acknowledgement of a Guarantee Message
MT 769 Advice of Reduction or Release
[plus 790, 791, 792, 795, 796, 798, 799]

13. Collections

MT 400 Advice of Payment
MT405 Clean Collection
MT 410 Acknowledgement
MT 412 Advice of Acceptance
MT416 Advice of Non-Payment/Non-Acceptance
MT 420 Tracer
MT 422 Advice of Fate and Request for Instructions
MT430 Amendment of Instructions
MT450 Cash Letter Credit Advice
MT455 Cash Letter Credit Adjustment Advice
MT456 Advice of Dishonour
[plus 490, 491, 492, 495, 496, 498, 499]

14. Systems Messages

[Receive only]
MT 010 Non-delivery Warning
MT 011 Delivery Notification
MT 012 Sender Notification
MT 015 Delayed NAK
MT 019 Abort Notification
MT 082 Undelivered Message Report (at a fixed hour)
MT 083 Undelivered Message Report (at cut-off time)
MT 094 Broadcast
MT 293 Information Service Message
ACK/NAK Message Acknowledgment/Non-Acknowledgment

15. SYNDICATIONS

MTMT643 Notice of Drawdown/Renewal
MT644 Advice of Rate and Amount Fixing
MT645 Notice of Fee Due

