## **Curriculum Vitae**

# Dr Richard Rafe Champion de Crespigny

Adjunct Professor, China and Korea Centre, Faculty of Asian Studies, Australian National University

Fellow of the Australian Academy of the Humanities

### **Contact details**

Professor Rafe de Crespigny Faculty of Asian Studies Australian National University

#### Qualifications

- Ph.D. ANU Far Eastern History; Thesis: "The Development of the Chinese Empire in the South; a discussion of the origins of the state of Wu of the Three Kingdoms" (1968)
- M.A. ANU Oriental Studies Honours; Thesis: "The chronicle of the period 184-200 A.D. from the Tzu-chih t'ung-chien of Ssu-ma Kuang" (1964)
- M.A. Cambridge, History (1961)
- B.A. ANU Honours Chinese (1962)
- B.A. Cambridge Honours History (1957)

### Research interests

Chinese history, geography and literature of the Han period and of the 19th and 20th centuries.

### **Current major project**

A comprehensive biographical dictionary of the Later Han dynasty 20-220 AD.

### **Publications**

### I On early China

### **Books**

The Biography of Sun Chien , Occasional Paper No. 5 of the Centre of Oriental Studies, ANU 1966, v + 99 pp.

Official Titles of the Former Han Dynasty (in collaboration with Professor H.H. Dubs), Oriental Monograph Series No. 2, ANU 1967, xi + 67 pp.

The Last of the  ${\it Han}$ , Oriental Monograph Series No. 9, ANU 1969, xxxiv + 558 pp.

Portents of Protest in the Later Han Dynasty: the memorials of Hsiang K'ai to Emperor Huan, Oriental Monograph Series No. 19, ANU 1976, vii + 124 pp.

Northern Frontier: the policies and strategy of Later Han , Faculty of Asian Studies Monographs (New Series) No. 4, ANU 1984, xv + 630 pp. + 14 maps

Emperor Huan and Emperor Ling: being the Chronicle of the Later Han Dynasty for the years 157 to 189 AD as recorded in Chapters 54-59 of the Zizhi tongjian of Sima Guang; translated and annotated, Faculty of Asian Studies Monographs (New Series) No. 12, 1989, 2 volumes, xxxiii + 597 pp. + 2 maps

[online @ http://www.anu.edu.au/asianstudies/decrespigny/]

Generals of the South: the foundation and early history of the Three Kingdoms state of Wu, Faculty of Asian Studies Monographs (New Series) No. 16, 1990, xxiii + 715 pages + 16 maps

[ online @ <a href="http://www.anu.edu.au/asianstudies/decrespigny/l">http://www.anu.edu.au/asianstudies/decrespigny/l</a>

Man from the Margin: Cao Cao and the Three Kingdoms , The Fifty-first George Ernest Morrison Lecture on Ethnology, ANU 1990, 37+5 pages +1 map

[online @ <a href="http://www.anu.edu.au/asianstudies/decrespigny/">http://www.anu.edu.au/asianstudies/decrespigny/</a>]

To Establish Peace: being the chronicle of Later Han for the years 189 to 220 AD as recorded in Chapters 59 to 69 of the Zizhi tongjian of Sima Guang, translated and annotated, Faculty of Asian Studies Monographs (New Series) No. 20, 1996, 2 volumes, I + 635 pages with 24 maps [online @ http://www.anu.edu.au/asianstudies/decrespigny/]

Sino-Asiatica: papers dedicated to Professor Liu Ts'un-yan on the occasion of his eighty-fifth birthday, joint editor with edited by Wang Gungwu and Igor de Rachewiltz, Canberra and Hong Kong 2002, 30-59

## **Book chapters**

"Inspection and Surveillance Officials under the Two Han Dynasties," in *State and Law in East Asia* [Festschrift Karl Bünger], edited by Dieter Eikemeier and Herbert Franke, Wiesbaden, 1981, 40-79

"Local Worthies: provincial gentry and the end of Later Han," in *Das Andere China: Festschrift für Wolfgang Bauer zum 65. Geburtstag*, Wiesbaden 1995, 533-558

"The Division and Destruction of the Xiongnu Confederacy in the First and Second Centuries C.E.," [Turkish: "Hun Konfederasyonu'nun Bölünmesi ve Yikilmasi"] in *The Turks* [Yeni TürkiyeMedya Hismetleri-Murat Ocak], Ankara 2002, 256-243 & 749-757

"A Question of Loyalty: Xun Yu, Cao Cao and Sima Guang," in *Sino-Asiatica:* papers dedicated to *Professor Liu Ts'un-yan on the occasion of his eighty-fifth birthday*, edited by Wang Gungwu, Rafe de Crespigny and Igor de Rachewiltz, Canberra and Hong Kong 2002, 30-59

## Journal article

"The Military Geography of the Yangtse and the Early History of the Three Kingdoms State of Wu," *Journal of the Oriental Society of Australia* [*JOSA* ] 4.1 (June 1966), 61-76

"The Recruitment System of the Imperial Bureaucracy of Later Han," *Chung Chi Journal* 6.1 (November 1966), 67-78

"An Outline of the Local Administration of the Later Han Empire," *Chung Chi Journal* 7.1 (November 1967), 57-71

"Civil War in Early China: Ts'ao Ts'ao at the Battle of Kuan-tu," *JOSA* 5. 1&2 (December 1967), 51-64

"Prefectures and Population in South China in the first three centuries AD," *Bulletin of the Institute of History and Philology, Academia Sinica, Taiwan*, 40.1 (1968), 139-154

"The Chinese Warlord in Fact and Fiction: a study of Ts'ao Ts'ao," *Bulletin of the Chinese Historical Association, Taipei*, 4 (1972), 304-328 [translated into Chinese by Lu Jianrong, *Cao Cao*, Taipei 1980, 195-217]

"Political Protest in Imperial China: the great proscription of Later Han 167-184," Papers on Far Eastern History [PFEH] 11 (March 1975), 1-36

"The Second Year of Yen-hsi: Notes to the Han chronicle of A.D. 159," *JOSA* 10.1&2 (June 1975), 7-25

"The Harem of Emperor Huan: a study of court politics in Later Han," PFEH 12

(September 1975), 1-42

"The Ghosts that Were" (the story of Xu Deng, Zhao Bing and Wang Chun from *Hou Han shu* 82), *Hemisphere* 20.5 (May 1976), 34-38

"T'an-shih-huai and the Hsien-pi Tribes of the Second Century A.D." (with K.H.J. Gardiner), *PFEH* 15 (March 1977), 1-44

"The Ch'iang Barbarians and the Empire of Later Han: a study in frontier policy," Part I in *PFEH* 16 (September 1977), 1-25; Part II in *PFEH* 18 (September 1978), 193-245

"More Than Defensive" [on the Great Wall of Qin and Han], *Hemisphere* 22.11 (Nov. 1978), 12-17

"Maps from Mawangdui," *Cartography* (Journal of the Australian Institute of Cartographers) 11.4 (September 1980), 211-222

"Politics and Philosophy under the Government of Emperor Huan 159-168 A.D." *T'oung Pao* 66.1-3 (1980), 41-83

"The Three Kingdoms and Western Jin: a history of China in the third century AD: Part I," *East Asian History* 1 (Canberra: June 1991), 1-36 [online @ http://www.anu.edu.au/asianstudies/decrespigny/]

"The Three Kingdoms and Western Jin": a history of China in the third century AD: Part 2, in *East Asian History* 2 (Canberra: December 1991), 143-165 [online @ http://www.anu.edu.au/asianstudies/decrespigny/]

## Major conference papers

"Factions at the Court of Later Han: a reappraisal," delivered to the 27th International Congress of Orientalists, Ann Arbor, Michigan, August 1967

"Local Government and Population in Early China: problems and methods," delivered to ANZAAS, Section 21 (Geography), Adelaide, August 1969

"Irrigation and the Expansion of Chinese Culture," delivered to the 28th International Congress of Orientalists, Special Congress Seminar A, Canberra, January 1971

"The Government of Emperor Ling," *Proceedings of the 29th International Congress of Orientalists 1973*, Section 9a, Paris 1977, 87-95.

"Frontier and Defence in the Han Dynasty," delivered to the Fourth National Conference of the Asian Studies Association of Australia, Melbourne, May 1982

"Is the Emperor really necessary? theories and practice at the end of Later Han," delivered to the XXX European Conference of Chinese Studies, Turin, September 1986

"History, anecdote and fiction in the records of the end of Han," in *Proceedings* [Panel 4] of the XXXII International Congress for Asian and North African Studies, Hamburg, August 1986, published in Supplement 9 of the *Zeitschrift der Deutschen Morgenländischen Gesellschaft* [ZDMG], Stuttgart 1992, 629

"Han China and the south," presented to Conference on Ancient Chinese and Southeast Asian Bronze Age Cultures, Kioloa NSW, February 1988, published in *Ancient Chinese and Southeast Asian Bronze Age* Cultures, edited by F David Bulbeck and Noel Barnard, Taipei 1996-97, II, 759-768

"On reading Zizhi tongjian," delivered to the Biennial Conference of the Chinese Studies Association of Australia, Fremantle, July 1999

"A Steppe too Far: Dou Xian and the destruction of the Northern Xiongnu 89-92 AD," delivered to Conference on Military Culture in Imperial China, Christchurch, New Zealand, January 2001

### Major review articles

"The First Empires of China: a review article," T'oung Pao 75 (1989), 263-276

"Ignorance Abroad: a review article of David Harris, *Black Horse Odyssey:* search for the lost city of Rome in China," in Adelaide Review 98 (February 1992), 26

### II On bibliographical sources

The Records of the Three Kingdoms , Occasional Paper No. 9 of the Centre of Oriental Studies, ANU 1970, v+99 pp."Universal Histories" in Essays on the Sources for Chinese History, edited by Donald Leslie, Colin Mackerras and Wang Gungwu, ANU Press 1973, 64-70 essays on the following works in A Sung Bibliography [Bibliographie des Song], initiated by Etienne Balazs, edited by Yves Hervouet, Hong Kong 1978:

Han i-wen chih k'ao-cheng	(p.64)
Pan Ma tzu-lei, Fu: Pu-i	(p.64)
Pu Hou Han shu nien-piao	(p.65)
Liang-Han k'an-wu pu-i	(p.65)
San-kuo chih pien-wu	(p.66)
T'ung-chien ti-li t'ung-shih	(p.71)
Chi-ku lu	(p.71)
Tzu-chih t'ung-chien wai-chi	(p.72)
Ta-shih chi	(p.76)
T'ung-chien chi-shih pen-mo	(p.85)

#### III On modern China

#### **Books**

China: The Land and its People , Nelson's Australasian Paperbacks, Melbourne, and St. Martin's Press, New York, 1971, xiv + 235 pp.; [extracted in The Chinese: Adapting the Past, Building the Future, (edited by Robert F. Dernberger, Kenneth J. DeWoskin, Rhodes Murphy, Martin K. Whyte and Steven M. Goldstein), Center for Chinese Studies at the University of Michigan, 1986/1991]

China This Century [first edition] Nelsons, Melbourne, and St. Martin's Press, New York, 1975, xii + 299 pp.[second edition] Oxford University Press, Hong Kong and New York, 1992, ix + 375 pp.

## **Articles**

"Chinese Newspaper Reports of the Changes in Indonesia, September to December1965," *Australian Outlook*, 20.2 (August 1966), 193-199

"Problems of Printing Chinese," Hemisphere 14.4 (April 1970), 15-19

"Man Coming Home" (translation of a modern Chinese short story by Chung Ling), *Quadrant* 68 (Vol. XIV, No. 6) (Nov-Dec 1970), 131-137

"China's Northern Frontiers," *Proceedings of the Royal Geographical Society of Australasia (South Australian Branch)* 71 (1970), 61-70

"The Chinese View of Foreign Traders: An Historical Perspective," in *Trading with China: a businessman's manual*, edited by John Dixon, Canberra College of Advanced Education 1980, 1-6

"Tseng P'u: A Flower in a Sinful Sea" (six chapters translated, with Liu Ts'unyan), *Renditions* 17+18, Hong Kong 1982, 137-192; reprinted in *Chinese Middlebrow Fiction From the Ch'ing and Early Republican Eras*, edited by Liu Ts'un-yan, Hong Kong 1984, 137-192

"Tradition and Chinese Foreign Policy," in *China as a Great Power: myths, realities and challenges in the Asia Pacific Region*, edited by Stuart Harris and Gary Klintworth, Melbourne and New York 1995, 28-45

"The Great Drain: Grand Canals and the politics of China," in *The Asia-Pacific Magazine* 13, December 1998, 34-37

"Timor Oriental: Autonomia o independencia? Una perspectiva australiana," in *Politica Exterior*, Madrid, 70 [July/August 1999], pp. 27-33 [English version entitled "Timor, Indonesia and the Region: an Australian view" published on Internet.]

"China in 1898," delivered to the Seminar "1898, from Far and Near: the genesis of the balance of power in the Asia-Pacific region," Canberra, May 1998

## IV On other topics

"Teaching about Asia," New Horizons in Education 32 (Spring 1964), 36-42

"Progress in the field of Asian Studies," *Hemisphere* 13.10 (October 1969), 23-27

"Letter from Canberra," Ta-tzu pao (University of Michigan), 1970, n.p.

"Asian Studies in Australia 1970," Abr-Nahrain 10 (1970-71), 17-25

"Asian Studies in Australia," Asien (Hamburg) 10 (1984), 103-110

"The Chinese Discovery of Australia" (review article with Christopher Pearson), Adelaide Review 15 (June 1985), 4-5

## Professional and community memberships

- Fellow of the Australian Academy of the Humanities (FAHA) since 1985
- Australian Institute of International Affairs: sometime President of the Canberra Branch, member of the National Executive, and Acting National Director
- · Oriental Society of Australia, Fellow and Council Member
- Chinese Studies Association of Australia Inc, President 1999-2001
- The Historical Association (U.K.)

### Other appointments

- Congress for Asian and North African Studies [formerly International Congress of Orientalists]:
  - o Secretary-General of the 28th Congress at Canberra 1971
  - Member of Consultative and Executive Committees, 29th Congress at Paris 1973
  - Consultant to the 30th Congress at Mexico City 1975
  - o Member of the Executive Committee of the 32nd Congress at Hamburg 1986
- 1971-72 Visiting Fellow of Clare Hall, Cambridge University
- 1972 & 1984 Academic Exchange Visitor of the Deutscher Akademischer Austauschdienst (DAAD) to the Federal Republic of Germany
- 1978 Visiting Professor, Asian Studies Program, University of Hawaii
- 1978 Guest Professor, College of Chinese Culture, Taiwan
- 1983-97 Hon. Treasurer, Australian Committee for the Cambridge Commonwealth Trust
- 1986 Visiting Fellow, Sinologisch Instituut, University of Leiden, The Netherlands
- 1991-2001 Master of University House, ANU